





Securities Services Online (SSO) is a web interface KBC Securities Services makes available to its professional clients on a free-of-cost basis. It is being made available for the mere convenience of the client, as one of the manners to consult portfolios or to give instructions, next to other ones. The tool supports different modules, that can be activated or de-activated in function of the needs of a (type of) client.

KBC invests great effort in keeping the application stable and secure, the Client from its perspective must see to it, that it protects the devices, with which it accesses the applicable against viruses, abuse or unauthorized access.

The Client is responsible for any access or instruction using the authentication means made available to it by KBC, and undertakes to inform KBC immediately if it discovers or even suspects that the access is being compromised.

The user will strictly comply with any user manual, security instructions or system requirements notified to it by KBC. KBC endeavors to make the tool available permanently to its Clients, not guaranteeing to its clients availability in excess of 99% on an annual basis. In case of interruption of the service, KBC will prosper business resumption in accordance with its business continuity program. KBC may restrict the access to the application or even shut it down for reasons of security or maintenance.

Making available the application does not confer any intellectual property rights to the client, except for a limited, temporary, non-exclusive and revocable right to use the application for the purposes for which it was designed. KBC may at its own discretion and without prior notice, restrict access to the application, amongst others, but not restricted to, the discontinuation of the service, in case of security threats or extended periods of inactivity of the client. The application is made available as is, without any guarantee for its merchantability or adequateness for the use it was designed for. KBC cannot be held liable for any consequences of the temporary unavailability of the system, or even for erroneous or out dated information that may at any time be displayed by the system.



1 Contents

1	(Contents	3
2	I	Introduction	6
3	l	Logging on	9
	3.1 H	Homepage	10
4	ŀ	Accounts	
	4.1 (General principles	11
	4.2 (Overview Securities accounts	11
	4.3 (Overview Cash accounts	14
	4.4	Assets Overview	16
5	(Cash movements	17
	5.1 F	Pending cash transfers	17
	5.2 E	External Cash transfers	17
	5.2.2	1 External cash transfer to a reference account	17
	5.2.2	2 External cash transfers to other accounts	
	5.3 I	Internal cash transfers	
	5.4 -	Technical cash transfers	
	5.5 F	Forex	23
6	(Orders	
	6.1 (Create Order	
	6.1.1	1 Find and select financial instrument	26
	6.1.2	2 Fill in order form	
	6.1.3	3 Simulate order	
	6.1.4	4 Register order	
	6.1.5	5 Sign order	
	6.1.6	6 Orderbook	
7	0	Static data	
	7.1.1	1 Standing Instructions	
	7.1.2	2 Sign Standing Instructions	
8		Sign	
	8.1 \$	Sign orders	
	8.1.1	1 View	
	8.1.2	2 Actions	
	8.2	Sign Forex	
	8.2.7	.1 View	
	8.2.2	2 Actions	
	8.3	Sign Cash movements	
	8.3.	.1 View	
	8.3.2	2 Actions	
	8.3.	.3 View details cash movement	
	8.3.4	4 Cancel registration	
	8.3.	.5 Sign cash movement	
	8.4	Sign Plans	41



8.4.1	View	
8.4.2	Actions	
8.5 Sig	gn Technical cash movements	
8.5.1	View	
8.5.2	Actions	
8.6 Sig	gn Settlement Instructions	
8.6.1	View	
8.6.2	Actions	
8.7 Sig	gn Standing Instructions	
8.7.1	View	
8.7.2	Actions	
8.8 Sig	gn BPO Management Updates	
8.8.1	View	
8.8.2	Actions	
9 Plc	ans (Investment and Income Plans)	
9.1 Ov	verview	
9.1.1	Actions	51
9.2 Cre	eate Investment Plans	
9.2.1	Selection list 'Securities'	
9.2.2	Selection list 'Models'	
9.3 Cre	eate Income Plans	
10 Se ⁻	ttlement Instructions	
10.1	General principles	
10.2	Overview	
10.3	Create Internal SI	
10.4	Create External SI	
10.5	Settlement Upload	
10.5.1	Trade Details	
10.5.2	Settlement Details	
10.5.3	REAG/DEAG Details	
10.5.4	BUYER/SELLER Details	
10.5.5	Upload	
10.5.6	Error messages	
11 Pro	oduct	
11.1 Pro	oduct Information	
12 Re	porting	
12.1	Securities Transactions	
12.2	Securities Positions	
12.3	Cash Movements	
12.4	Cash Balances	
12.5	Dividend Overview	
13 BP	O Management	
13.1	Product universe	
13.2	External reference	



13.3	Product update	34
13.3.1	Alternative Security types	87



2 Introduction

With Securities Services Online (SSO), KBC Securities Services has developed a web-interface for all professional clients. The different modules of SSO can be activated to support the individual needs of every client.

Via SSO, clients have direct access to the backend system of KBC Securities Services . They will be able to perform real-time queries on account information and download reports.

With the module for settlement instructions, clients have an online view on all settlement instructions. The extensive trading module in SSO allows clients to simulate and input orders in a wide range of products and markets. The orderbook offers an online view on the status of all orders and allows clients to cancel or edit existing orders.

With the forex module, it's possible to create foreign exchange transactions and open accounts in other currencies.

The product information module offers access to KID and Target Market info. Finally, cash transfers can be created via SSO.

System requirements:

SSO does not require any additional software and is supported by the following browsers:

- Google Chrome
- Firefox
- Microsoft Edge

Contact:

In case of any questions or issues, please contact our Customer Support: customersupport@kbc.be



Overview modules SSO:

- 1) Accounts
 - Cash accounts
 - Securities accounts
 - Assets Overview

2) Cash movements

- Pending cash transfers
- Internal cash transfers
- External cash transfers
- Technical cash transfers
- Forex

3) Orders

- Create order
- Orderbook

4) Static data

• Standing Instructions

5) Sign

- Orders
- Cash movements
- Settlements
- Forex
- Plans
- Standing instructions
- BPO Management Updates

6) Plans

- Overview
- Create Investment Plan
- Create Income Plan

7) Settlement Instructions

Overview



- Create Internal Settlement Instruction
- Create External Settlement Instruction
- 8) Product
 - Product information

9) Reporting

- Securities transactions
- Securities positions
- Cash Movements
- Cash Balances
- Dividend overview

10) BPO Management

- Product universe
- External reference
- Product Update

Internal



3 Logging on

For access to Securities Services Online (SSO), you need a personal digipass and PIN. To obtain your personal digipass, please contact <u>customersupport@kbc.be</u>.

Do not share your digipass and PIN with any other persons. Keep the card in a secure place, in case of card theft, loss or damage, immediately contact <u>customersupport@kbc.be</u>. In case you forgot your PIN or locked your digipass, please also contact <u>customersupport@kbc.be</u>.

To log on, please enter a valid user ID and response code in the logon-screen of Securities Services Online (SSO) on the website https://bpo-app.securitiesservices.kbc.be.



1. Enter your User-ID

L User ID

2. To unlock your digipass, press and hold 🚔 and < at the same time.

3. Type in your pincode

4. Press 1 to choose APPLI 1

5. Fill in the response code

Digipass code

OGIN



3.1 Homepage

On the homepage of Securities Services Online (SSO), clients can find general information about KBC Securities Services, contact persons and the Standard Settlement Instructions of KBC Securities Services.



From rapidly expanding businesses looking for a top quality provider or to outsource entire brokerage and custody operations, to companies looking to increase coverage in selected markets, we develop solutions to suit each client's specific needs. Whether clients use our full service concept or just one part of the chain, our clients can always rely on the support of our local brokers, or work through one single point of contact. Our brokerage, technology and operations teams are available from 7.00am to 10.00pm CET to offer full service brokerage supported by leading edge technology and a strong customer support team.

We offer Direct Market Access (DMA) to a large number of markets with focus on Western, Central and Eastern Europe. DMA is gained via various Front End Applications and Supported FIX Networks.

We also provide Settlement and Custody on all major markets and cover a large range of products such as Exchange Traded Products, Fixed Income, Funds, Derivatives and Lending & Borrowing. All of this is done by means of just one legal agreement.

Our Global coverage and local knowledge is second to none.



4 Accounts

4.1 General principles

With the accounts module, clients have an online view on their accounts to see the current securities and cash positions. All accounts will be visible, also blocked and margin accounts. Securities and cash movements can easily be gueried online on several search criteria.

Clients can also view and download XLS- and PDF reports and send reports to their email-address.

4.2 Overview Securities accounts

Clients have an online view on all securities accounts that have been set up. Clients can query movements on several criteria or a combination of these criteria, and see the details of the different security movements.

Possible search criteria:

ISIN	Security name	Currency	Place Of custody	Security type
ISIN CODE	SECURITY NAME	select 🔽	select 💙	select
Quantity from		🗌 Show zero positions 🗹 Calculate value	e	
RESET				SEARCH

ISIN

In this field, the user can input the ISIN-code of the security.

Security name

In this field, the user can input the name of the security.

Currency

A list of all currencies will be shown, the client can select a specific currency in the list or type the currency code.

Place of custody

A list of all possible places of custody will be shown; the client can select a specific place of custody.

Security Type

A list of all possible security types will be shown, the client can select a specific security type.

Quantity from – Quantity to

In this numerical field, the client can fill in the quantity from/to.

From the Securities accounts overview, clients also have a direct link to the order form, via the "Create

Order" button , provided that the security is tradable. The client will immediately be presented with the order form, see 3.1.2 "Create Order".



Select client:

Securities Services Online • Accounts • Cash Movements • Orders • Settlements • Sign • Client Acceptance • Plans		, Logout
Securities Accounts for	for client	¥
No client selected.	*ALL - All accounts 9451091 -	^
	9451201 - 9452349 -	
	9452283 -	
	9452555 -	~

View Securities positions:

KBC	Securities Se	rvices Onlir	• Accounts		← Orders	- Settlements	✓ Sign	← Client Acceptance	→ Plans			TE	ST USER (testus	ervs) Logout
Sec	urities Aco	counts							for client	• 632	1000 - DOE-DOE			×
Securi	ties 27										DOWNLOAD XLS	DOWNLOAD PDF	SEND REP	DRT 💉
ISIN ISIN Quant RE	CODE ity from		to	Security name				Currency select Show zero position	S Calculate value	Place Of cust	ody [Security ty	-	SEARCH
4	<u>Client</u> •	Client name	Account Description		ISIN	Security name		Curr	Туре		Quan	tity Price	Value	Fax Relevant
C i	6321000/000	DOE-DOE	SECURITIES IN CUSTO	DY	NO0010657398	AKER ASA 12-22 VA	AR%	NOK	EUROBONDS		500,000.0	000 108.3750	541,875.0000	
C i	6321000/000	DOE-DOE	SECURITIES IN CUSTO	DY	BE0974258874	BEKAERT NV		EUR	SHARES		850.0	45.8050	38,934.2500	



View Securities movements:

Securiti	ies Services Online	Accounts Cash Moveme	ents • Orders • Settler	nents •Sign •	Client Acceptance 🔹 Plans		Transaction history	of PERINVEST ASIA DIVIDI	END EQUIT.A EUR.		×
Securities	s Accounts					for clie					
Securities									DOWNLOA	D XLS CON	WNLOAD PDF
ISIN			Security name		Currency		4 Cui	Action	Price per unit Units	Total Value C	ontract Note
ISIN CODE					- select -	Ŀ	i EUI	Fund Subscription	123.56 2.0000	247.1200	55032872
Quantity from RESET	- to				Show 24	ro positions 🗹 Show untradable p	EUI	Fund Subscription	123.56 2.0000	247.1200	55032869
4 Clier	ent Client Name	Account Descr	ription	ISIN	Security name	Curr Type					
128 E 9450						EUR EUR INVE					
9450	8000	SECURITIES IN	CUSTODY	LU0564489937	PERINVEST HARBOUR US EQUITY A	USD USD INVE					
9450	8000	CUSTODY COR	RECT.TRADE PRINCIPLE	LU0564490430	PERINVEST HARBOUR US EQUITY O	GBP GBP INVE					
9450	8000	SECURITIES IN	CUSTODY	LU0564490430	PERINVEST HARBOUR US EQUITY O	GBP GBP INVE					

View detail Securities movements:

Searching Se	Securities Services Online + Accounts + Cash Movements + Orders + Settlements + Sign + Client Acceptance + Plans										Transaction history of	PERINVEST ASIA DIVID	END EQUI	F.A EUR.	3	×	
Secu	rities Aco	counts								for	clie						
Securities	0														DOWNLO	ND XLS	IOWNLOAD PDF
ISIN				Security name				Ci	urrency			4 Cur	Action	Price per un	it Units	Total Value	Contract Note
ISIN COL				PERINVEST					- select -		2	EUF	Fund Subscription	123.5	6 2.0000	247.1200	55032872
Quantity f	mom	to =							Show zero positions	Show untradab	ole p	EUF	Fund Subscription	123.5	6 2.0000	247.1200	55032869
RESET												Movement Details					
-	Clinat	Climit Name	Annual Description	lar		10111						Transaction type:	Fund Subscription	Gross:		2	47.1200 EUR
The second second	Cuent	Cuent Name	Account Descript	uon		ISIN	Security name			Curr 1	ype	Price:	123.5600 EUR	Interest:			0.00 EUR
(8 (š)			SECURITIES IN CL								WYE	Units:	2	Gross Exch	ange:	2	47.1200 EUR
1	9450008		SECURITIES IN CU	ISTODY		LU056448993	37 PERINVEST HAR	RBOURUS	EQUITY A USD	USD IN	NVE:	Operation date:	2015-04-14	Gross Settl	ement:	2	47.1200 EUR
6	9450008		CUSTODY CORRE	CT.TRADE PRINC	IPLE	LU056449043	0 PERINVEST HAR	RBOURUS	EQUITY C GBP	GBP IN	NVE	Value date:	2015-04-17	Net Exchan	ge:	2	47.1200 EUR
1 1	9450008		SECURITIES IN CL	ISTODY		LU056449043	30 PERINVEST HAR	RBOURUS	EQUITY C GBP	GBP IN	NVE:	Currency:	EUR	Net:		2	47.1200 EUR
												ClientRef:	000003080232				
																	CLOSE

The button (Withholding Tax on Funds) next to a securities position indicates the tax relevancy of the security. Behind this button, the historical movements and their tax relevant information will be provided:

- o Date ownership
- o Action (= Transaction type)
- o Price (= initial or historical price)
- o Currency (=price currency)
- o Quantity (= initial transaction quantity)
- o Remaining Quantity (= initial quantity minus sell transactions or other debit movements)

	Hecurities	Services On	line - annual - a		- Detters	+terment +tips	· Contractory and	- 1940	Tax histor	y of TREETOP CO	INVERT	BLEINT	LAFUR.	*
Secu	rities #	ecounts						for dise						
	O								Withholdi	ng Tax				TRUNCTURE THE P.
-				-			- Transmitter		Data Datas	Accion	-	Carrency	Concept	Potenting quantity
							- 144et -	18	2010-00-10	thand that the light loss	399.03	1.000	1.19800	1.3080
-	-		-				- See an public	2 Showson	20100-05-08	Fant Rodemption	399.37	cue:	-10.0000	9,0000
(Sectors									2014-10-15	incoming transfer	276.40	eser.	200,0000	\$300.0000
	const.	Charl Horm	Account Develoption		-	Security same		7954						
	MALE PAR	Real places	DECEMBER IN COMPANY		STATISTICS.	THEORY OF STREET, STRE	CARLA DA	INVESTIGATION OF						
								ALS 100						
122110	(HELE/MA	tow.upest	SECOND IN CONCEPT		ADDIGG NORTH	THURSDAY CONNECTION INTO	10 (size - 11.46)	-NAL STREET						
102111	perception.	DOR-SHARP -	SUSPICIES IN CLEARING			THEFTOP GLODAU BARANCES	10.00 CLAS	MARCENER						
-	SHITTE	DOC JOINS	DECLININGS TO DESIGN THE		and support of the local division of the loc	THEFTON GLOBAL BALANLES	watay ILM	NUMBER						
THE R.	DATE OVER	DAR DOAL	CARDON COMMON TRANS	PROPERTY P.	a succession of	THE OWNER COMMANDER	a mar . ri.m	INCOME.						
100100	3414754	Diff. plans.	SECURITIES IN CLASSED			TRUTOP GLOBIN BALANEET	Diame Diame	WILLIAM						
2210	-	DOE 20144	SECONDES INCLUDION		* I LINESSON	TREETON GLOBAL CONSERVA	CONCAUSE ILS	INVESTING	5					



4.3 Overview Cash accounts

Clients have an online view on all cash accounts that have been set up. Clients can query movements on several criteria or a combination of these criteria, and see the details of the different cash movements.

Possible search criteria:

Balance		Description	Currency	Positions		
_00	to 🛛 ∞	DESCRIPTION		Zero Pos	Blocked Pos	
CLEAR						FILTER

Balance

In this field, the user can filter on the balance of a cash account.

Description

In this field, the user can input the name of the account.

Currency

A list of all currencies will be shown, the client can select a specific currency in the list or type the currency code.

Select client:

Securities Services Online • A	ccounts - Cash Movements	 	Client Acceptance	- Plans		Logout
Cash Accounts				for client		Ŧ
No client selected.					*ALL - All accounts 9451091 -	^
					9451201 9452349	
					9452283 - 9451292 -	
					9452555	~

View Cash positions:

KBC Secu	rities Services Online	• Accounts • C	ash Movements	• Settlements • Sign		• Plans				-	Logout
Cash Ac	counts					for client	9451327 - DOE JOHN				*
Cash account	• 0								B DOWNLOAD XLS	DOWNLOAD PDF	1
Balance RESET	to 🗠				Description DESCRIPTION		Currency	V	Positions	□zero so	ARCH
+	Client	Client name	Account		Name Account		Cash Balance		Exch. Rate	Bal	ance Eur
1	9451327	DOE JOHN	9451327401000978		AMOUNTS ON VALUE	E DATE	65,742.3400 EUR		1.0000	65	742.3400



View Cash movements:

Secu	rities Services Online	← Accounts ← Cash Moveme	ints ▼Orders ▼Settlements ▼Sign	 ◆ Client Acceptance ◆ Plans 	14 casl	h movements for account 9451327401000	978.		1
Cash A	counts						III DOW	NLOAD XLS	DOWNLOAD PDF
Cash accoun	5 1				Amour	nt from to	Date from	to	
Balance				Description	5	RESET			SEARCH
				DESCRIPTION	+	Transaction type	Debit/Credit	Cur	Value Date
RESET					i	Redemption payment	3,825.0000	EUR	16/09/2015
4	Client	Client name	Account	Name Account	i	Payment dividends	-3,774.5100	EUR	16/09/2015
R	9451327	DOE JOHN	9451327401000978	AMOUNTS ON VALUE DATE	i	Payment dividends	3,774.5100	EUR	16/09/2015
_					i	Redemption payment	48,023.8400	EUR	5/05/2015
					i	Deposit on current account client	25,000.0000	EUR	1/12/2012
					i	Deposit on current account client	25,000.0000	EUR	1/07/2013
					i	Fund Subscription	-10,008.8600	EUR	21/01/2015
					i	Fund Subscription	-21,124.8500	EUR	10/07/2013
					i	Fund Subscription	-25,009.0100	EUR	14/12/2012
					i	Fund Subscription	-39,947.1500	EUR	15/02/2013
					i	Fund Subscription	-20,008.6400	EUR	28/11/2014
					i	Fund Subscription	-50,007.9900	EUR	17/09/2014
					i	Deposit on current account client	80,000.000	EUR	1/01/2014
					i	Deposit on current account client	50,000.0000	EUR	1/01/2013

View detail Cash movements:

Sec	curities Services Or	nline - Accounts - Cas	sh Movements - Orders - Settlements - Sign -	Client Acceptance Plans		Redemption payment		-152,939.9000	USD	6/07/2015
Cash A	Accounts					Payment dividends		2,247.6500	USD	6/07/2015
Cash accou	unts 🕢					Redemption payment		152,939.9000	USD	6/07/2015
				-		Fund Redemption		1,557.8500	USD	3/07/2015
	to =			DESCRIPTION		Ingoing corporate action		-4.3200	USD	15/05/2015
RESET						Transfer between clients		111.1100	USD	26/05/2015
						Redemption payment		5,977.5700	USD	22/05/2015
+	Client	Client name	Account	Name Account		Fund Subscription		2,976.5700	USD	20/05/2015
	9450008		9450008401000826	AMOUNTS ON VALUE DATE	Mover	nent Details				
1			9450008401000840		Amo	unt:	2,976.5700	Creation Date:		2015-05-15
	9450008		9450008401000978	AMOUNTS ON VALUE DATE	Curr	ency:	USD	Value Date:		2015-05-20
	9450008		9450008445403978	MISCELLANEOUS DEBTS	Bala	nce:	3537.85	Transaction Date:		2015-05-15
					Trans	action Details for PERINV	EST ASIA DIVIDEN	D EQUIT.B USD		
					Con	ract Note:	55041927	ClientRef:		
					Price	ĸ	11.2340 USD	Total:		2,976.5700 USD
					Unit	5:	251.333	Operation date:		2015-05-15
					Tota	Ŀ	2,976.5700 USD	Value date:		2015-05-20
										CLOSE
						Fund Subscription		-2,976.5700	USD	20/05/2015
						Transfer between clients		-1.0000	USD	28/04/2015
						Fund Subscription		-399.8500	USD	17/04/2015
						Fund Redemption		3,938.7000	USD	3/03/2015



4.4 Assets Overview

Clients have an online view on the combined cash and securities accounts that have been set up.

Asset	ts overview	W for this client. This overview shows only the first 50 items.	50	1	for client	*ALL - All accounts	1	DOWNLOAD XLS	DOWNLOAD PDF
+	<u>Client</u> •	Client name	Agent	Management type	Io	al Cash	Total S	ecurities	Total
			TEST USER	OTAD	4,705.3	700 EUR	9,244.5	5700 EUR	13,949.9400 EUR
			TEST USER	OTAD	16,230.9	100 EUR	51,374.	5300 EUR	67,605.4400 EUR
			TEST USER	OTAD	4,543.8	100 EUR	200,780.7	7300 EUR	205,324.5700 EUR

Using the envelope button before each line, a PDF or Excel report can be send to the predefined email address of the client.

Asse	ts overviev	V					Genera	ate a report and send	a it to your co	nfigured e-mailad	dress.	
ssets (D							Filetype *	PDF			
	<u>Client</u> •	<u>Client name</u>		Agent	Manageme	nt type		Calculation date *	29/06/2017			
3				TEST USER	ORDER TRA	NSMISSION/ADVISORY		Report *	Operation D	ate		5
							CLOS	Æ				SEND REPORT
c Se	curities Services (Online + Accounts +	Cash Movements • O	rders - Settlements		Client Acceptance + Plans	i	Redemption payment		-152,939.9000	USD	6/07/20
ash	Accounts							Payment dividends		2,247.6500	USD	6/07/20
h acco	unts 🚯							Redemption payment		152,939.9000	USD	6/07/20
								Fund Redemption		1,557.8500	USD	3/07/20
ance	to =					DESCRIPTION		Ingoing corporate action		-4.3200	USD	15/05/20
RESET								Transfer between clients		111.1100	USD	26/05/20
								Redemption payment		5,977.5700	USD	22/05/20
	Client	Client name		Account		Name Account		Fund Subscription		2,976.5700	USD	20/05/20
	9450008			9450008401000826		AMOUNTS ON VALUE DATE	Mover	nent Details				
							Amo	unt:	2,976.5700	Creation Date:		2015-05-15
	9450008			9450008401000978		AMOUNTS ON VALUE DATE	Cum	ency:	USD	Value Date:		2015-05-20
	9450008			9450008445403978		MISCELLANEOUS DEBTS	Bala	nce:	3537.85	Transaction Date:		2015-05-15
							Transa	action Details for PERINVE	ST ASIA DIVIDEN	D EQUIT.B USD		
							Cont	ract Note:	55041927	ClientRef:		
							Units	6	251.333	Operation date:		2,976.5700 050
							Tota	E	2,976.5700 USD	Value date:		2015-05-20
												CLOSE
							1	Fund Subscription		-2,976.5700	USD	20/05/201
							1	Transfer between clients		-1.0000	USD	28/04/201
							1	Fund Subscription		-399.8500	USD	17/04/20
								Fund Redemption		3,938.7000	USD	3/03/201



5 Cash movements

5.1 Pending cash transfers

In the overview of pending cash transfers, clients can see cash transfers that have been created, but have not been booked yet. These cash transfers have not been processed automatically, because there are validation issues. KBC Securities Services will follow up these pending cash transfers, and will contact the client if any action has to be taken.

In the overview screen, clients have a view on the pending cash transfers, It's not possible to perform any actions here.

KBC Searths	Securities Services Online	+ Accounts		+ Orders	≠ Sign	+ Client Acceptance					Logout
	Pending Cash Transfers						for client	9450007 -		*	
	Account Name					BIC	Status	Amount Currency		Value Date	
	BE30733020	0872511				KREDBEBB		1.0000	EUR	14/04/2015	
	BE30733020	0872511				KREDBEBB		10.0000	USD	14/04/2015	

5.2 External Cash transfers

With the "external cash transfer" module, clients can transfer cash to an external reference account. With the "internal cash transfer" module, clients can shift cash from one account to another.

5.2.1 External cash transfer to a reference account

The reference account (set up in the system of KBC Securities Services) can be selected in a list of possible accounts. To register the cash transfer, the amount, currency and comment (optional) have to be filled in. After validation, the cash transfer will have to be signed in the "sign" screen by the same user or another user (if the 4-eyes principle has been set up for this client), see 4.2. After signing the cash transfer, it will automatically be routed to KBC Securities Services backend system for further processing.

Create external cash transfer	for client	• 6321110 -
Create external cash transfer		
Amount: *		Amounts on value date
amount for transfer	AUD	0.00
	CHF	0.00
Account number: *	EUR	31,413.65
select	GBP	0.00
	нкр	1,349.38
	NOK	3,087.50
	NZD	0.00
	PLN	0.00
	SEK	0.00
	USD	3,871.12
RESET		CREATE TRANSFER



5.2.2 External cash transfers to other accounts

This functionality is only available for BPO clients.

With the "external cash transfers" module, clients are also able to transfer cash to an account which has not been set up as a reference account by KBC Securities Services.

In this case, clients have to fill in the amount, currency, the IBAN and BIC and full name and address of the beneficiary (mandatory for USD and CAD, not for other currencies), and a comment (optional). After validation, the cash transfer will have to be signed in the "sign" screen by the same user or another user (if the 4-eyes principle has been set up for this client), see 4.2. After signing the cash transfer, it will automatically be routed to KBC Securities Services backend system for further processing.

		n - enen receptonec	Logot
	Create external cash transfer	for client 9450007 · · · · · · · · · ·	
	Register external cash transfer		
	Amount: * Currency: *		
	1000 EUR - EURO	\checkmark	
	O Account number: *	Account: *	
	select	BE0 0026 3	
		ыс:*	
		KREDBEBB	
		Name:	
		i ki ku ji sku ji	
		First name:	
		ksfjikdjfskl	
		Street and number:	
		kdfjsk 525	
		Zip Code:	
		1080	
		ony:	
		Drussets	
Securities	Services Online - Accounts - Cash Movements - Orders - Sign	- Client Acceptance	Logout
Securities		BIC: *	
		bic code	
		Name:	
		name	
		First name:	
		first name	
		Street and number:	
		address	
		Zip Code:	
		zipcode	
		City:	
		city	
		Country:	
		- select -	
	Comment:		
	transfer EUR 1000		
	Cash transfer succesfully registered, please note that the cash	transfer has to be signed.	
	Cash transfer succesfully registered, please note that the cash You can CREATE A NEW TRANSFER or SIGN	transfer has to be signed.	



5.3 Internal cash transfers

With the "internal cash transfer" module, clients can transfer cash between different client accounts.

Clients have to select the client "from" and client "to", fill in the amount and currency, and add a comment (optional). After validation, the cash transfer will have to be signed in the "sign" screen by the same user or another user (if the 4-eyes principle has been set up for this client), see 4.2. After signing the cash transfer, it will automatically be routed to KBC Securities Services backend system for further processing.

AV ND						
Create internal cash transfer				for client	9451607	.*
Create internal cash transfer						
			Transfer type: *			
			01 - Current to Cur	rrent		•
Amount: *	Cun	rency: *	To Client: *			
100	EUR	EUR - EURO	▼ 9451749-TESTING	TEST		•
Comment:						
TEST						
RESET						CREATE TRANSFER
C Securities Services Online + Accounts +	Cash Movements	+ Orders → Settlem	ents - Sign			Lo
Securities Services Online + Accounts + 1	Cash Movements	+ Orders - Settlem	ents -Sign			Lo
Securities Services Online - Accounts - 1 Create internal cash transfer ash transfer succesfully registered, please note that the cash reate internal cash transfer	Cash Movements transfer has to be	- Orders - Settlem signed.	ents +Sign			La
Securities Services Online - Accounts - 1 Create internal cash transfer Cash transfer succesfully registered, please note that the cash reate internal cash transfer	Cash Movements I transfer has to be	- Orders - Settlem	ents - Sign			Le
Securities Services Online - Accounts - 1 Create internal cash transfer ash transfer succesfully registered, please note that the cash eate internal cash transfer	Cash Movements I transfer has to be	- Orders - Settlem	ents - Sign Transfer type: * 01-Current to Cu	rrent		Lo
Securities Services Online - Accounts - I Create internal cash transfer	Cash Movements I transfer has to be Curr	- Orders - Settlem signed.	ents - Sign Transfer type: * 01-Current to Cu To Ctient. *	rrent		Lo
Securities Services Online - Accounts - I Create internal cash transfer ash transfer succesfully registered, please note that the cash eate internal cash transfer	Cash Movements I transfer has to be Curr EUR E	- Orders - Settlem signed. rency: *	ents - Sign Transfer type * 01-Current to Cu To Client. *	rrent		Lo
Securities Services Online - Accounts - I Create internal cash transfer	Cash Movements It transfer has to be Curr EUR E	- Orders - Settlem signed. rency: * UR- EURO	ents - Sign Transfer type: * 01-Current to Cu To Client. * • 9451771-D0E JOH	rrent		
Securities Services Online - Accounts - Accounts Create internal cash transfer Cash transfer succesfully registered, please note that the cash reate internal cash transfer	Cash Movements transfer has to be Curr EUR EUR E	• Orders • Settlem signed. rency: * uR - EURO	ents - Sign Transfer type: * 01-Current to Cur To Client * ▼ 9451771-00E JOH	rrent N		Lo Construction Co
Securities Services Online - Accounts - Acco	Cash Movements I transfer has to be Curr EUR EUR E	- Orders - Settlem signed. rency: *	ents -Sign Transfer type: * 01-Current to Cur To Client * ♥	rrent N		

5.4 Technical cash transfers

With the 'Technical cash transfer' module, clients can enter cash movements on internal waiting accounts.

The client can select the type of technical cash transfer. KBC Securities Services is able to create other types of technical cash transfers upon request of the client.

After validation of the booking instruction, the cash booking will have to be signed in the "sign" screen by the same user or another user (if the 4-eyes principle has been set up for this client), see 4.5. After signing the cash transfer, it will automatically be routed to KBC Securities Services backend system for further processing.

Internal



reate technical cash transfer		
	Transfar binas 🕇	
	ransier type:	
Amount: *	06 - Commercial concession 08 - Transfer from general waiting accou	
amount for transfer	CURRENCY~ 09 - Stock exchange tax	
From Client: *	11 - VAT 12 - Transfer commission to TreeTop	
	13 - Transfer own assets to TreeTop (prv	
Comment:		
COMMENT		
RESET		CREATE TRANSFER
Securities Services Online	vements • Orders • Settlements • Sign • Client Acceptance • Plans	Logo
Securities Services Online	vements	Logo ×
Securities Services Online • Accounts • Cash Mo	vements • Orders • Settlements • Sign • Client Acceptance • Plans	Logo ×
Securities Services Online • Accounts • Cash Mo	vements • Orders • Settlements • Sign • Client Acceptance • Plans	Logo ×
Securities Services Online • Accounts • Cash Mo	vements • Orders • Settlements • Sign • Client Acceptance • Plans mical cash transfer has to be signed. Transfer type: *	Logo X
Securities Services Online • Accounts • Cash Mo	vements	Loga ×
Securities Services Online • Accounts • Cash Mo	verments	Lego ×
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer	verments	Logo × ▼
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer	verments	Logo ×
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer Amount: * 50 From Cleant: * 59 59 50 -	verments	Logo × ▼
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer Amount: • 50 From Clemt: • 54 59 50 Comment:	verments	Logo × ▼
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer Amount: * 50 From Chemt: * 54 59 Comment:	vements	Loge × ▼
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer Amount: • 50 From Chent: • 54 50 - Comment: COMMENT	verments	ι αρχ × •
Securities Services Online • Accounts • Cash Mo Technical cash transfer succesfully registered, please note that the tech Create technical cash transfer Amount: • 50 From Cleant: • 54 50 - Comment: COMMENT	verments	Logs × ✓
Securities Services Online • Accounts • Cash Mo Technical cash transfer successfully registered, please note that the tech Create technical cash transfer Amount: 50 50 59 59 59 50 Comment: Comment: Comment Cash transfer succesfully created, please note that the	vements	Log × V

Following 'transfer types' are available:

06 'commercial concession'

⇒ This transfer type allows the client to book a commercial concession to the end-customer cash account in EUR. Other currencies are possible under the condition that the end-customer has a cash account in the currency and the BPO client has transferred the amount in the same currency to their KBC Bank cash account.

(e.g. from account 9xxxxxx 499 978 978 to account 9xxxxxx 401 000 978)

- ⇒ The client completes following fields
 - o Amount per account (mandatory)
 - o Currency (mandatory)
 - Value date (optional): if not completed, the system will take the current date as value date.
 - o Beneficiary account end-customer (select in 'to client') (mandatory)
 - Comment (optional). The comment is not reported to the endcustomer, but is only captured in the back end.

KBC	Securities Services Online	 Accounts 	Cash Movements	• Orders	 Settlements 	- Sign	Client Acceptance Plans	Logout
Creat	e technical cash transfer							
							Transfer type: *	
							06 - Commercial concession	¥
Amo	unt *						Value Dote:	
50					×	EUR+	Value Date	
From	Client: *						To Clant. *	
94	50 -						9 08-	*
Com	ment							
C	DMMENT							
R	ISET							CREATE TRANSFER



08 'Transfer from general waiting account'

⇒ This transfer type allows the client to book an amount on the general waiting account (e.g. incoming cash transfer with AML checks to perform) to the end-customer cash account in EUR. Other currencies are possible under the condition that the end-customer has a cash account in the currency and BPO client has received the amount in the same currency on their KBC Bank cash account.

(e.g. from account 9xxxxx 499 978 978 to account 9xxxxx 401 000 978)

- ⇒ The client completes following fields
 - o Amount per account (mandatory)
 - o Currency (mandatory)
 - Value date (optional): if not completed, the system will take the current date as value date.
 - Beneficiary account end-customer (select in 'to client') (mandatory)
 - o Comment (optional). The comment is not reported to the end-
 - customer, but is only captured in the back end.

Securities Services Online	Sign Client Acceptance Plans Logout
Create technical cash transfer	
	Transfer type: *
	08 - Transfer from general waiting accou
Amount: *	Value Date:
5000 EUR-	, 21/06/2016
From Client: *	To meet: *
94.	945(
Commant	
COMMENT	
- Construction	
RESCT	CREATE TRANSFER

09 'Stock Exchange Tax'

- ⇒ This transfer type allows the client to confirm the payment in EUR of this tax to the financial authorities
- \Rightarrow Debit account is defaulted by the system
- ⇒ Credit account is defaulted by the system

⇒ The client completes following fields

- o Amount (mandatory)
- Value date (optional): if not completed, the system will take the current date as value date.
- Comment (optional). The comment is not reported in the movements, but is only captured in the back end for information.

Securities Services Online	ments • Sign	Client Acceptance Plans	Logout
Create technical cash transfer			
		Transfer type: *	
		09 - Stock exchange tax	-
Amount: *		Value Date:	
5000	EUR-	21/06/2016	
From Client. *		To Client: *	
99.		945	
Comment:			
COMMENT			
RESET		CREATE TRANSFE	

Internal



10 'Local Withholding tax'

- ⇒ This transfer type allows the client to confirm the payment in EUR of this tax to the financial authorities
- ⇒ Debit account is defaulted by the system
- ⇒ Credit account is defaulted by the system
- ⇒ The client completes following fields
 - o Amount (mandatory)
 - Value date (optional): if not completed, the system will take the current date as value date.
 - Comment (optional). The comment is not reported in the movements, but is only captured in the back end for information.

KBC	Securities Services Online	• Accounts	- Cash Movements	- Orders	- Settlements	• Sign	Client Acceptance Plan	ins Logout
Create	e technical cash transfer							
							Transfer type: *	
							10 - Local withholding tax	×
Amou	nt: *						Value Date:	
500	10					EUR₩	21/06/2016	
From	Client: *						To Client: *	
99							94.	
Comm	sent:							
co	MMENT							
RE	SET							CREATE TRANSFER

11 'VAT'

- ⇒ This transfer type allows the client to confirm the payment in EUR of this tax to the financial authorities
- ⇒ Debit account is defaulted by the system
- ⇒ Credit account is defaulted by the system

⇒ The client completes following fields

- o Amount (mandatory)
- Value date (optional): if not completed, the system will take the current date as value date.
- Comment (optional). The comment is not reported in the movements, but is only captured in the back end for information.

Securities Services Online	 Accounts 	← Cash Movements	• Orders	- Settlements	• Sign	Client Acceptance Plans Logout
Create technical cash transfer						
						Transfer type: *
						11 - VAT
Amount *						Value Date:
5000					EUR+	21/06/2016
From Client: *						To Client: *
995						945(
Comment:						
COMMENT						
RESET						CREATE TRANSFER

12 'Transfer commission to BPO client'

- ⇒ This transfer type allows the client to confirm the transfer of commissions in EUR to the clients entity account.
- ⇒ Debit account is defaulted by the system
- ⇒ Credit account is defaulted by the system
- The client completes following fields
 Amount (mandatory)



- o Value date (optional): if not completed, the system will take the current date as value date.
- Comment (optional). The comment is not reported in the movements, but is only captured in the back end for information.

КВС	Securities Services Online	- Accounts	- Cash Movements	• Orders	- Settlements	• Sign	- Client Acceptance	Plans Logout		
Creat	Create technical cash transfer									
							Transfer type: *			
							12 - Transfer commissi	sion to TreeTop		
Amo	unt: *						Value Date:			
a	nount for transfer					EUR#	Value Date			
From	Client: *						To Client: *			
95	(III)						945			
Com	ment:									
0	DMMENT									
R	ESET							CHEATE TRANSFER		

13 'Transfer own assets to BPO client'

- ⇒ This transfer type allows the client to confirm the transfer of own assets of the previous fiscal year in EUR to the clients entity account.
- ⇒ Debit account is defaulted by the system
- ⇒ Credit account is defaulted by the system
- ⇒ The client completes following fields
 - o Amount (mandatory)
 - Value date (optional): if not completed, the system will take the current date as value date.
 - Comment (optional). The comment is not reported in the movements, but is only captured in the back end for information.

Securities Services Online Accounts Cash Movements Orders Settlement	s 🔹 Sign	Client Acceptance Plans Logout
Create technical cash transfer		
		Transfer type: *
		13 - Transfer own assets to TreeTop (prv
Amount: *		Value Date:
amount for transfer	EUR+	Value Date
From Client: *		To Client: *
99		94.
Comment:		
COMMENT		
RESET		CREATE TRANSFER

5.5 Forex

With the "Forex" module, clients can create currency exchange instructions.

The client has an online view on the cash amount in different currencies. To create a forex instruction, he can select the currencies in a list of possible currencies supported by KBC Securities Services, fill in the amount and add a comment (optional). Internal



Convert from: * 100 EUR-EURO Amounts on value date To: * 107.37 USD-US DOLLAR BON 0.00						
Convert from: 100 EUR-EURO Amounts on value date To: 107.97 USD-US DOLLAR BSIN 0.00						x for WILLEMS SOFIE (9451607)
To: * 107.37 USD - US DOLLAR ▼ BON 0.00	Amounts on value date		EUR-EURO ¥		100	Convert from: *
05 000	0.00	BGN	USD - US DOLLAR		107.97	то: *
Comment: TEST FOREX	0.00	CHE	Comment: TEST FOREX			Comment
1 EUR = 1.0797 USD 744.68	744.68	DVXK	1 EUR = 1.0797 USD			
1 USD = 0.92618319 EUR 472,670.52	472,670.52	EUR	1 USD = 0.92618319 EUR	1		
HUF 554.49	554,49	HUF				
13,150.00	13,150.00	<u> </u>				
SEK 933.87	933.87	SEK				

When pressing the "Create Forex" button, the instruction will be validated (check the available amount, user authorities and limits). The client will receive confirmation of the registration or an error message in case of any issues.

orex						for client	94.)7	
ate forex for	[9451607]							
Conv	ert from: *	100		EUR - EURO	•		Amounts on value date	
	To: *	107.97		USD - US DOLLAR	•	BGN	0.00	
	Comment	TEST FOREX				CHF	0.00	
			1 EUR = 1.0797 USD		0.00	744.68		
			10	SD = 0.92618319	EUR	HUF	472,670.52	
						JPY	13,150.00	
						SEK	933.87	
						USD	205.31	

After signing the instruction in the "Sign", the currency exchange will be processed and booked by KBC Securities Services. The transaction will immediately be visible in the cash account movements, showing the full details of the currency exchange transaction. Sign must be done before 12:00 or 24:00 otherwise it will be refused due to an old exchange rate.



				DOWNLOAD XLS	DOWNLOAD PDF	
Amount from	m 00	to ∞	Date from	to		
RESET	r				SEARCH	
+	Transaction type	Debit	/Credit Cur	Value Date	Contract Note	
i	Exchange transaction		88.0300 GBP	21/06/2017		
Moven	nent Details					
Debi	t Currency:	EUR	Credit Currer	ncy:	GBP	
Debi	t Amount:	100	100 Credit Amount:			
Exch	ange Rate:	* 0.8803	Transaction I	Date:	2017-06-21	
Debi	Debit Value Date: 2017-06-21			Credit Value Date:		

Credit Comment:

Debit Comment:

CLOSE



6 Orders

6.1 Create Order

With the "create order" module, clients can create orders in a wide range of products. After signing the order, it will be sent to KBC Securities Services order book, where it will be processed immediately and automatically.

To create an order, the following steps have to be completed:

- 1. Find and select financial instrument: select the financial instrument
- 2. Fill in order form: complete the order form
- 3. Register order: register the order

Securities Services Online + Accounts Securities	Cash Movements Orders Settleme	ents ▼Sign			Logout
	Create Order		for client	•	
	1 Find and select financial instrument	2 Fill in order form 3 Create Orde	er.		
	Find financial instrument			/	
	ISIN MIC	Name	Internal code	Security group	
				-select -	
	Place of custody	Place of trade	Currency	Ticker	
	Place Of Custody 💌	Place Of Trade **	- select -		
	RESET			SEARCH	

6.1.1 Find and select financial instrument

Possible search criteria:

Find financial instrument						1
ISIN	MIC	Name		Internal Code		Security Group
Place of Custody		Place of Trade	Curre	ency select	Ti	cker
CLEAR SEARCH FORM						SEARCH INSTRUMENTS

ISIN

In this field, the user can fill in the ISIN-code of the security.

MIC

In this field, the user can fill in the MIC-code of the market.

Security name

In this field, the user can fill in the name of the security.

Internal code

In this field, the user can fill in the internal code of the security; this is the internal code in the system of KBC Securities.

Security Group

A list of all possible security Groups will be shown, the client can select a specific security Group.

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```

Internal



Place of custody

A list of all possible places of custody will be shown; the client can select a specific place of custody.

Place of trade

A list of all possible places of trade will be shown; the client can select a specific place of trade.

Currency

A list of all currencies will be shown; the client can select a specific currency in the list or type the currency code.

Ticker

In this field, the client can look for a ticker code.

Based on one or more of these search criteria, a list of securities will be shown.

Securities Services Online Account	s • Cash Movement	s ▼Orders ▼Settlem	ents 🗸 Sign						
	Create O	rder				for client		*	
	1) Find and se	elect financial instrument	2 Fill in or	ler form (3) Create Orde	fr				
	Find financial in	strument						<u> </u>	
	ISIN	MIC		Name PFIZER		Internal code		Security group	
	Place of custody	tody 💌	Place of trade	ade 🔻	Currency - select	×	Ticker		
	RESET							SEARCH	
	ISIN	Security Name	Туре	МІС		Market Description	Price Curre	ncy Last price date	
	US7170811035	PFIZER INC	Share	SWISS EXCHANGE		Zurich	28.8000 CHF	2016-03-31	
	US7170811035	PFIZER INC	Share	DEUTSCHE BOERSE AG		Frankfurt	26.3350 EUR	2016-04-01	
	US7170811035	PFIZER INC	Share	NASDAQ OMX NORDIC		Stockholm	241.0000 SEK	2016-04-01	
	US7170811035	PFIZER INC	Share	NEW YORK STOCK EXCHANGE, I	NC.	New York	30.0400 USD	2016-04-01	

6.1.2 Fill in order form

After choosing the correct security, the client will have to fill in the order form to create the order.

1 Find and select financial instrument	2 Fill in order form 3 Create Order				
l in good till date market order					
ISIN	BE0974258874				
Security name	BEKAERT NV				
Operation *	Buy				
	☑ Use Best Execution				
Order type *	Good Till Date market order	v			
Place Of trade *	NYSE EURONEXT - EURONEXT BRUSSELS (XBRU)				
Quantity *	10				
Currency client *	EUR	Available cash: 215,650.70 EUR			
Validity date *					
Decision maker	Y				
Shortselling indicator	Y				
External reference	EXTERNAL REFERENCE				
	RESET	CREATE ORDER			

In the input screen, all mandatory fields are market with *.

Internal



Fields order form:

ISIN

Filled in automatically based on the security.

Security Name

Filled in automatically based on the security.

Operation

Buy or Sell / Subscribe or Redeem (for OTC funds).

Use Best Execution

The client can select Best Execution or not.

Order Type

The client can select the Order Type in a list of possible Order Types.

Place of trade

Filled in automatically, based on the security and Best Execution indicator.

Quantity or Amount

Quantity or amount to be filled in. It's only possible to create orders in amounts for OTC Funds if orders in amounts are allowed for the security and transfer agent.

Limit price

The client can fill in a limit price (only for limit order).

Decision Maker

The field will be pre-filled with the possible decision makers for this account, according to the Mifid II regulation. The client can choose the decision maker based upon this.

Shortselling Indicator

In case a Sell is chosen, the client can choose a Shortselling indicator according to the Mifid II regulation.

Settlement Currency

The client can select the currency he wants the order to be booked in. The available currencies are listed; these are currencies in which the client has opened a cash account.

Validity Date

The client can select a validity date. The default Validity Date is the last business day of the next month, but the client can choose any other date. For OTC funds (redemption/subscription), the validity date will be fixed at 1 year.

External Reference

The client can add his own reference (optional, only capital letters).

After completing the order form, the order can be created ("register order" button), simulated ("simulate order" button) or the screen can be cleared ("reset" button).

6.1.3 Simulate order

The client can simulate the order and all costs involved by clicking on the "create order" button. An overview of all transaction details and fees will be shown.

Also the Ex-Ante Cost projection is shown in this screen and available to download as pdf file. Attention! The Ex-Ante Cost Projection provides an estimate of the cost for buy/sell orders, this can be different from the effective costs that will be applied.

3 Create Order



1 Find and select financial instrument

Order to create	
Operation	Buy
Best Execution	Yes
Order Type	Market order
Validity	One Day
Quantity	10
Currency Client	EUR

2 Fill in order form

Transaction Overview	
Gross:	238.2000 EUR
Gross Exchange:	238.2000 EUR
Gross Settlement:	238.2000 EUR
Brokerage Fee:	15.0000 EUR
Stamp Duty:	0.8300 EUR
Net Exchange:	254.0300 EUR
Net:	254.0300 EUR

Security

Name Share	BEKAERT NV
lsin	BE0974258874
Market	Euronext Brussels
Price	23.82
Currency	EUR

Ex-Ante Cost Projection

	On sale after 1 ye	ar
Charges paid for investment services	31.86 EUR	13.38%
One-off charges VAT included	0.00 EUR	0.00%
Recurring charges VAT included	0.20 EUR	0.08%
Recurring charges - KBC Securities Services	0.00 EUR	0.00%
Recurring charges - Asset manager	0.20 EUR	0.08%
Transaction charges	31.66 EUR	13.29%
Brokerage fees - KBC Securities Services	30.00 EUR	12.59%
Brokerage fees - Asset manager	0.00 EUR	0.00%
Stock exchange fees and taxes	1.66 EUR	0.70%
Exchange rate margin	0.00 EUR	0.00%
Charges for related services VAT included	0.00 EUR	0.00%
Incidental charges VAT included	0.00 EUR	0.00%
Product charges	0.00 EUR	0.00%
One-off charges	0.00 EUR	0.00%
Ongoing charges	0.00 EUR	0.00%
Transaction charges	0.00 EUR	0.00%
Incidental charges	0.00 EUR	0.00%
Third-party payments	0.00 EUR	0.00%
Total charges including taxes	31.86 EUR	13.38%

i KBC Securities Services is legally obliged to give you, before placing an order, an overview of the possible impact that costs and taxes might have on the return on your investment in case of different scenarios. This impact is carefully estimated based on the information available to KBC Securities Services at the time the order was placed. Certain costs and taxes (corporate actions costs, external transfer costs and costs and taxes related to dividends) are not included. The exchange rate margin used is an estimate based on the current exchange rate. Your order will of course be executed at the price of the moment of execution.

DOWNLOA EX-ANTE SIMULATION CREATE OR

6.1.4 Register order

After registration of the order (create order button in the above screen), the client gets an overview of the order, with an overview of the transaction details and fees.

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```



1 Find and select financial instrument	2 Fill in order form 3 Create 0	rder
Order to create		Transaction Overview
Operation	Buy	Gross:
Best Execution	Yes	Gross Exchange:
Order Type	Market order	Gross Settlement:
Validity	Good Till Date	Brokerage Fee:
Validity Date	2019-04-30	Stamp Duty:
Quantity	10	Net Exchange:
Currency Client	EUR	Net:

Transaction Overview	
Gross:	222.8000 EUR
Gross Exchange:	222.8000 EUR
Gross Settlement:	222.8000 EUR
Brokerage Fee:	15.0000 EUR
Stamp Duty:	0.7800 EUR
Net Exchange:	238.5800 EUR
Net:	238.5800 EUR

Security

Name Share	BEKAERT NV
Isin	BE0974258874
Market	Euronext Brussels
Price	22.28
Currency	EUR

Ex-Ante Cost Projection

	On sale after 1 ye	ear
Fees paid for investment services	31.74 EUR	14.25%
One-off fees VAT included	0.00 EUR	0.00%
Recurring fees VAT included	0.18 EUR	0.08%
Recurring fees KBC - Securities Services	0.00 EUR	0.00%
Recurring fees - Asset manager	0.18 EUR	0.08%
Transaction fees	31.56 EUR	14.17%
Broker's fees - KBC Securities Services	30.00 EUR	13.46%
Broker's fees - Asset manager	0.00 EUR	0.00%
Belgian and foreign stock exchange market taxes	1.56 EUR	0.70%
Exchange rate margin	0.00 EUR	0.00%
Fees for ancillary services VAT included	0.00 EUR	0.00%
Incidental fees VAT included	0.00 EUR	0.00%
Fees paid for investment products	0.00 EUR	0.00%
One-off fees	0.00 EUR	0.00%
Recurring fees	0.00 EUR	0.00%
Transaction fees	0.00 EUR	0.00%
Incidental fees	0.00 EUR	0.00%
Distribution fees	0.00 EUR	0.00%
Total fees including taxes	31.74 EUR	14.25%

i KBC Securities Services is legally obliged to give you, before placing an order, an overview of the possible impact that costs and taxes might have on the return on your investment in case of different scenarios. This impact is carefully estimated based on the information available to KBC Securities Services at the time the order was placed. Certain costs and taxes (corporate actions costs, external transfer costs and costs and taxes related to dividends) are not included. The exchange rate margin used is an estimate based on the current exchange rate. Your order will of course be executed at the price of the moment of execution.





6.1.5 Sign order

After validating the order, it will have to be signed in the "sign" screen by the same user or another user (if the 4-eyes principle has been set up for this client). After signing the order, it will automatically be routed to KBC Securities Services backend system for further processing. Sign screen: see 4.1.

6.1.6 Orderbook

In the order book, the client can see all orders for his accounts, regardless of the source (created via SSO or manually by KBC Securities Services).

By default, clients will see all pending of the selected account for the last month, but he can filter on a series of search criteria.

Possible search criteria:

Orderbook			for client	▼ 6321000 - DOE-DOE	
Orders 0					III DOWNLOAD XLS
ISIN CODE	Order status select	Currency select	Place Of Trade	Security Type select	External Reference
Date from	to	Amount from	to	Order Type	Validity Type
12/11/2017	to date	0		select	✓ - select ✓
Cancelled Orders 🗹 Pending Ord	ders 🔲 Executed Orders				

ISIN

In this field, the user can input the ISIN-code of the security.

Order Status

The client can select a status.

Currency

A list of all currencies will be shown; the client can select a specific currency in the list or type the currency code.

Place of trade

A list of all possible places of trade will be shown; the client can select a specific place of trade.

Security Type

A list of all possible Security Types will be shown; the client can select a specific security Group.

External Reference

The client can search on his own reference.

Date From/To

The client can filter on input date.

Amount From – Amount to

In this numerical field, the client can fill in the amount.

Order Type The client can filter on Order Type.

Validity Type

The client can filter on Validity Type.

Additionally, the client can select the following orders:

- Cancelled orders
- Pending orders
- Executed orders

7 Static data

7.1.1 Standing Instructions

Via the Standing Instructions module it is possible to register/edit client's standing instructions for corporate actions (DRIP – Dividend Reinvestment and DVOP – Dividend Option).

KBC Secreties Services	Securities Services Online		• Orders • Settlements			
		KBC SECURI	TIES SERVIC	Standing instructions		
		KBC Securities Services p wealth managers, asset outsource, partially or o standards so the custom driving our proposition.	provides the infrastructu managers, private ban completely, their servici mer can focus on their l	re to run global brokerag kers and family offices. V ng processes. We take co business and their clients	e and custody operations. V Vith our BPO model we offer are of the increasing regulat c. Quality of service, market	We offer this full value chain to institutional clients, r a solution to financial institutions that want to isons, more complex products and higher quality intelligence, price and strategic commitment are

Currently, standing instructions can only be registered/edited for individual client accounts. Any standing instruction that has already been set up in our back-office system will be visible in SSO:

Standing Instruction for Corporate Actions	for client 🔹	
Create Standing Instructions for		
DRIP (Dividend Reinvestment):	CASH- Cash	
DVOP (Dividend Option):	CASH-Cash	
RESET		REGISTER

If the client account does not have a standing instruction yet, the fields will be blank:

DRIP (Dividend Reinvestment): *	•
DVOP (Dividend Option): *	T

3 options are available: cash, securities and market default

KBC Securities Services	Securities Services Online	← Accounts	← Cash Movements	← Orders	➡ Settlements	← Static data	✓ Sign	← Product	
Create St	anding Instructions for								
	DRIP (Dividend Reinvestment):	<mark>ASH - Cash</mark> IKTD - Market Default ECU - Securities							
	DVOP (Dividend Option):	A2H - C92H							
RESET									REGISTER

Clients can explicitly elect to always receive the market default, thus avoiding the need to ever send us their election for DRIP or DVOP events. In this case the Market Default ("MKTD") option has to be selected. If standing instructions are registered via SSO, they need to be registered both for DRIP (Dividend Reinvestment) and DVOP (Dividend Option).

When standing instructions are modified, but not registered yet, the 'reset' button can be used to go back to the initial situation.

Upon registration of a new standing instruction, a warning message will indicate that the end client's standing instruction will only be applicable for FUTURE corporate actions.





!! Careful !! For corporate actions with short deadlines, notifications will be sent before entitlement date. It will not be possible to register/edit standing instructions in SSO for these events. Standing instructions for a corporate event are downloaded upon creation of the notification. Therefore, once a notification has been received, it is no longer possible to register/edit standing instructions for this specific event.

7.1.2 Sign Standing Instructions

When standing instructions are modified and registered via the 'register' button, they will have to be signed in the sign module. Other standing instructions can be registered before signing.

We succ	cessfully registered the S	Standing Instruction(s).		
You can	REGISTER NEW STANDING INST	RUCTION OF SIGN		
e 🔊 🖉 https://bpo-a	pprel kbc2s.com toSign/standing-instructions		 - A C ∫ Senth 	– 6 × ନ- ଜୁଡ଼
Securities Services Online [ities Services Online • Accounts • (Cash Movements ← Orders ← Settlements ← Static o	lata → Sign → Product → Reporting	Logout
Standing Ir	nstructions ready to sign 🕤		Orders Forex	*
			Cash movements Technical Cash movements	
Select All	From Client		Settlements Standing Instructions	SIGN 0 TRANSACTION(S) Created
			DRIP: SECU 2019-07-17 - 11:32:41	001310589
			DVOP: SECU 2019-07-17 - 11:32:41	001310589
			DRIP: MKTD 2019-07-17 - 11:33:13	001310589



8 Sign

In SSO, all instructions (orders, forex, cash movements and settlement instructions) will have to be signed by digipass. If the 4-eyes principle has been set up, another user will have to sign the instructions. If the 4-eyes principle has <u>not</u> been set up, a user can sign his own instructions.

In the sign screen, the user can sign instructions individually or in bulk. When signing orders or cash movements, all validation rules will be checked again (user authorities, account setup, sufficient cash and securities, security open for trading ...)

There is a sign screen for:

- Orders
- Forex
- Cash movements
- Settlement Instructions
- Plans
- Standing Instructions

8.1 Sign orders

8.1.1 View

All orders or cancellations of orders that have been created by any user will have to be signed in the "sign screen".

By default, all orders that need to be signed will be shown. Additionally, a filter can be used to filter on the user who created the order.

KBC Securities	Securities Servic	es Online	+ Accounts	→ Cash Movements → Orders	+ Settlements	→ Sign			Logout
Orde	ers ready to s	ign 🕦					Created by	select	Ŧ
÷	Operation	Account	ISIN	Name		Туре	Market	Quantity	SIGN 0 TRANSACTION(S) Amount Created
🗆 🗘 i	SUBSCRIBE	9451410	LU0338621724	PERINVEST ASIA DIVIDEND EQU	IT.A EUR	OtcFund	Fondsen BANK DEGROOF	EUR	5,000.0000

8.1.2 Actions

The following actions are possible:

- View details order - Cancel registration
- SIGN 1 TRANSACTION(S)
 - Sign order(s)



8.1.2.1 View details order

Before signing the order, the user can check all details of the order.

KBC	Securities Servic	es Online	+ Accounts	+ Cash Movements	+ Orders	+ Settlements	+ Sign	+ Client	Ready to sign order EUR	r for OtcFund PERINVEST ASIA DIVIDEND EQUIT.A	×
Ord	ers ready to s	ign 🕦							Cancelled: Client:	false 9451410	
4	Operation	Account	ISIN	Name			Туре	Marke	Created by: Create Date: Modified: Name:	2015-12-04:16:12:17 false	
	SUBSCRIBE	9451410	LU0338621724	PERINVESTASIA DI	VIDENDEQUIT	AEUR	OteFund	Fondse	Order type: Validity: Settle curr: Transaction type: Amount: I SIN: Currency: Place of trade: Place of trade: Place of custody: Cutoff Date:	Market order Good Till Date EUR SUBSCRIBE S000 LLU0338621724 EUR Fondsen BANK DEGROOF 927 2015-12-07 14:00:00	

8.1.2.2 Cancel registration

The user can reject the order. A pop-up will appear to ask for confirmation of the rejection.

Reject?
Are you sure to reject this registration?
Cancel Reject

8.1.2.3 Sign order

The user can sign the order(s) individually or in bulk. The order(s) to be signed can be selected by the user, the number of selected orders will be shown in the upper right corner.



KBC Securities	Securities Servic	es Online			+ Sign				Logout
Orde	ers ready to s	ign 🕦				Created by	select		•
									SIGN 1 TRANSACTION(S)
+	Operation	Account	ISIN	Name	Туре	Market	Quantity	Amount	Created
🗷 📿 i	SUBSCRIBE	9451410	LU0338621724	PERINVESTASIA DIVIDEND EQUIT.A EUR	OtcFund	Fondsen BANK DEGROOF	EUR	5,000.0000	

For the selected orders, the details will be shown when signing the order by digipass. The user has to fill in the response on his digipass, and sign registration. The orders will be validated one by one, the user will get a message of successful signing or error message in case of validation errors.

UBSCRIBE 9451410 LU0338621724 PERINVEST ASIA DIVIDEND EQUITA EUR OteFund Fondsen BANK DEGROOF EUR 5,000.0000 uthorize Order(s) 1. To unlock your digipass, press and hold and < at the same challenge: Response: time. F770ps	
thorize Order(s) 1. To unlock your digipass, press and hold and ≮ at the same Challenge: Response: time. 577005 bossoacco create Screen Scre	
1. To unlock your digipass, press and hold 🖨 and < at the same Challenge: Response:	
2. Type in your pincode	
3. Fress 2 to choose APPLI 2 4. Enter the challenge code to your digipass.	
5. Fill in the response code.	
Securities Services Online * Accounts * Cash Movements * Orders * Settlements * Sign	Logo
der was successfully signed.	×
demonstration of the state of t	*


8.2 Sign Forex

8.2.1 View

All Forex instruction that have been created by any user, will have to be signed in the "sign screen". By default, all Forex instructions that need to be signed will be shown. Additionally, a filter can be used to filter on the user who created the Forex.

KBC Secrities	Securities Services Online	+ Accounts	- Cash Movements	+ Orders + Settlements	+ Sign				Logout
Forex	ready to sign 🧕					Created by	select		•
4	From Client		Rate	From	To	Comment	Date	SIGN 0 TRANSA	CTION(S)
	(9451607)		1.08930	100.0000 EUR	108.9300 USD	test	2015-12-04 - 16:34:37		

8.2.2 Actions

The following actions are possible:



8.2.2.1 Cancel registration

The user can reject Forex. A pop-up will appear to ask for confirmation of the rejection.



8.2.2.2 Sign forex

The user can sign the Forex instructions(s) individually or in bulk. The Forex instruction(s) to be signed can be selected by the user, the number of selected Forex instructions will be shown in the upper right corner.

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```



	Securities Services Online	+ Accounts	- Cash Movements	- Orders - Settlements	+ Sign			Logout
Forex	ready to sign 🧿					Created by	select	•
+	From Client		Rate	From	То	Comment	Date	SIGN 2 TRANSACTION(S)
			1.00000	100 0000 EUP	102 0050 001	tert	2015-12-04 - 16:34:37	
R 🖒	9451607)		1.06950	100.0000 EOK	106,9300 030	test	2010 12 04 1000404	

For the selected Forex instruction(s), the details will be shown when signing the Forex instruction(s) by digipass. The user has to fill in the response on his digipass, and sign registration. The Forex instructions will be validated one by one; the user will get a message of successful signing or error message in case of validation errors.

	orex 👩							
F	rom Client	Rate	From	То	Comment	Date	Created	
	(9451607)	1.08930	100.0000 EUR	108.9300 USD	test	2015-12-04 - 16:34:37		
	(9451607)	0.13379	100.0000 DKK	13.3800 EUR	test 2	2015-12-04 - 16:35:48		
thorize	• Forex							
1. To	o unlock your digipass, press and hold 🚔 a	nd 🔇 at the same	Challenge:	Response:				
2. Ty	me. ype in your pincode		625513	Respon	ise	s	IGN REGISTRATION	
3. Pr	ress 2 to choose APPLI 2							
4. Er	nter the challenge code to your digipass.							
Secu	urities Services Online - Accounts	- Cash Movements	≁Orders +Settlements	- Sign				Logout
Secu	urities Services Online - Accounts	• Cash Movements	+ Orders + Settlements	+Sign				Logout ×
Secu were X re	urities Services Online - Accounts successfully signed. ady to sign	- Cash Movements	• Orders • Settlements	- Sign	Created by	select		Logout ×



8.3 Sign Cash movements

8.3.1 View

All cash movements that have been created by any user, will have to be signed in the "sign screen". By default, all cash movements that need to be signed will be shown. Additionally, a filter can be used to filter on the user who created the cash movement.

Securities Securities	Services Online	- Accounts	← Cash Movements		 ✓ Settlements 							Logout
Cash movem	ents ready to	sign 🕦						Created by	select			•
												SIGN 0 TRANSACTION(S)
Select All	From Client		Account	Beneficia	iry	Beneficiary	y account	Туре		Amount	Created	
- 🖸 🚺	DOE JOHN		9451768-401	TEST				Externa	I	100.0000 EUR		

8.3.2 Actions

The following actions are possible:

- View details cash movement
 Cancel registration
- SIGN 1 TRANSACTION(S)
 Sign cash movement(s)

8.3.3 View details cash movement

Before signing the cash movement, the user can check all details of the cash movement.

Securities Servi	ces Online ← Accounts ← Ca	ash Movements	Orders Settlements			Ready to sign c JOHN	ash movement from DOE 🛛 🗙
Cash movements	s ready to sign 👔				Created by select	From: From account: Created by: Create Date:	DOE JOHN (9451768) 9451768 401 2017-07-04 : 13:43:33
Select All	From Client	Account	Beneficiary	Beneficiary account	Туре	Amount: Currency: Comment:	100 EUR
	DOE JOHN	9451768-401	TEST		External	Client To: Client To Name: Address: City:	TEST
						BIC: Country: Type:	KREDBEBB 001 External Unlinked

8.3.4 Cancel registration

The user can reject the cash movement. A pop-up will appear to ask for confirmation of the rejection.



(!)
Reject?
Are you sure to reject this registration?
Cancel Reject

8.3.5 Sign cash movement

The user can sign the cash movement(s) individually or in bulk. The cash movement(s) to be signed can be selected by the user, the number of selected cash movements will be shown in the upper right corner.

Securities	rities Services Online 🚽	Accounts - Cash Mover	nents + Orders	+ Settlements + Sign				Logout
Cash mo	vements ready to si	gn 😰			Created by	-select		*
		0.001107			1.2011			SIGN 2 TRANSACTION(S)
* • 📢 🚹	From Client	Account 9451607-401	Beneficiary	Beneficiary account	Type	nal	Amount 100.0000 EUR	Created
⊻ <mark>Ç</mark> i		9451607-401		945	Intern	al	100.0000 EUR	

For the selected cash movement(s), the details will be shown when signing the cash movement(s) by digipass. The user has to fill in the response on his digipass, and sign registration. The cash movements will be validated one by one; the user will get a message of successful signing or error message in case of validation errors.

KBC Securities	Securities Services Online	+ Accounts	+ Cash Moverner	nts - Orders	- Settlements	+ Sign					Logout
Sign	Cash Movements 🧿										
4	From Client	Account	То		Account		Туре	Amount	Curr	Created	
		401			BE		External	100.0000	EUR		
		401			945:		Internal	100.0000	EUR		
Autho	orize Cash Movement										×
1 2 3 4 5	L. To unlock your digipass, press an time. 2. Type in your pincode 3. Press 2 to choose APPLI 2 4. Enter the challenge code to your 5. Fill in the response code.	d hold 角 and 🔇 digipass.	at the same	Challenge: 943101		Response: Response			SIGN REGIST	TRATION	
CBC St	ecurities Services Online	→ Accounts	+ Cash Movements	+ Orders	- Settlements	∗ Sign					Logout
Cash m	ovements were successfully signed.										×
Cash r	novements ready to s	sign					Created by	select			~



8.4 Sign Plans

8.4.1 View

All plans (saving plans or income plans) that have been created by any user, will have to be signed in the "sign screen" by a different user.

By default, all plans that need to be signed will be shown.

Securities Secu	rities Services On	line + Acco	ounts - Ca	sh Movements 🔹	Orders - Settle	ements - Sign				Logout				
Plans rea	Plans ready to sign (a) Created by -select-													
4	Account	Status	Туре	Start Date	End Date	Next Execution	Name	Frequency	Amount	SIGN 0 TRANSACTION(S)				
• 🖓 i	9451607-000	NEW		2015-11-17			TEST	Monthly	250.0000 EUR					
i 🖓 i	9451607-000	NEW		2015-11-17			NAAM	Monthly	500.0000 EUR					
0 🗘 i	9451768-000	ACTIVE		2015-10-22		2015-12-22	TEST	Monthly	250.0000 EUR					

8.4.2 Actions

The following actions are possible:

View details plan
 Cancel registration
 SIGN 1 TRANSACTION(S)
 Sign plan(s)

8.4.2.1 View details plan

Before signing the plan, the user can check all details of the plan.

Se	curities Services Onl	line + Accounts + C	ash Movements 🔹 👻 Orders	- Settlement	s 👻 Sign	- Client Acceptance	→ Pla	Ready to sign	plan		×
Plans rea	ady to sign 👩					Create	d by	INVESTMENT F	LAN		
								Created by: Create Date:	2018-11-28:14:02:23		
Select All	Account	Status	Туре	Start Date	End Date	Next Execution	Nai	Client: name:	9451099		
	9451099-000		INVESTMENT	2018-12-05		2018-12-05	INV	Frequency: Status:	1000 EUR Monthly		
	9451099-000	SUSPENDED	INVESTMENT	2018-12-05		2018-12-05	INV	Start Date: End Date:	2018-12-05		
	9451099-000		INVESTMENT	2018-12-05		2018-12-05	INV	Next Execution			
	9451099-000		INCOME	2018-12-05		2018-12-05	1P1	151N	Name	Amount	%
	9451099-000		INVESTMENT	2018-12-05		2018-12-05	INV	LU0694355214	TREETOP GLOB. SIC DYNAMIC -AH EUR-K	500 EUR	50.00
	9451099-000		INVESTMENT	2018-12-05			ABO	LU1229301061 LU0694355487	TREETOP GLOBAL CONSERVATIVE A EUR TREETOP GLOB. SIC BALANCED-AH EUR-K	250 EUR 250 EUR	25.00 25.00

8.4.2.2 Cancel registration

The user can reject the plan. A pop-up will appear to ask for confirmation of the rejection.

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```



Reject?
Are you sure to reject this registration?
Cancel Reject

8.4.2.3 Sign plan

The user can sign the plans(s) individually or in bulk. The plan(s) to be signed can be selected by the user, the number of selected plans will be shown in the upper right corner.

Securities Secu	urities Services Onl	line - Acco	unts - Ca	sh Movements 🛛 👻	Orders - Settle	ments - Sign				Logout
Plans re	ady to sign 👩						Created by	select		*
+	Account	Status	Туре	Start Date	End Date	Next Execution	Name	Frequency	Amount	SIGN 3 TRANSACTION(S) Created
2 🗘 主	9451607-000	NEW		2015-11-17			TEST	Monthly	250.0000 EUR	
2 🗘 主	9451607-000	NEW		2015-11-17			NAAM	Monthly	500.0000 EUR	
2 🖓 i	9451768-000	ACTIVE		2015-10-22		2015-12-22	TEST	Monthly	250.0000 EUR	

For the selected plan(s), the details will be shown when signing the plan(s) by digipass. The user has to fill in the response on his digipass, and sign registration. The plan(s) will be validated one by one, the user will get a message of successful signing or error message in case of validation errors.

n Mar	idates ³										
ent	Status	Туре	Start Date	End Date	Next E	١	Plan Name	Frequency	Amount	Created By	
1607	NEW		2015-11-17				TEST	Monthly	250.0000 EUR		
1607	NEW		2015-11-17		-		NAAM	Monthly	500.0000 EUR		
1768			2015-10-22		2015-12-22		TEST	Monthly	250.0000 EUR		
iorize Pla	ans										
time. 2. Type 3. Press 4. Enter 5. Fill in	in your pincode 2 to choose APPL the challenge cod the response code	l 2 le to your digipa e.	55.	366465			Response		SIGN REGISTRATION		
time. 2. Type 3. Press 4. Enter 5. Fill in Securi	in your pincode 2 to choose APPL the challenge cod the response code tiles Services O	12 le to your digipa e. nline → Acc	55. counts - Cash Moves	366465 ments - Orders	: - Settlemer	nts - Si	Response ^{gn}		SIGN REGISTRATION		L
time. 2. Type 3. Press 4. Enter 5. Fill in Securi	in your pincode 2 to choose APPL the challenge cod the response code ttes Services O cessfully signed.	12 le to your digipa e. nline - Acc	ss. counts - Cash Mover	366465 ments •Orders	- Settlemer	nts - Si	Response		SIGN REGISTRATION		



8.5 Sign Technical cash movements

8.5.1 View

All technical cash movements that have been created by any user, will have to be signed in the "sign screen".

By default, all instructions that need to be signed will be shown. Additionally, a filter can be used to filter on the user who created the movement.

KEC Secu	rities Services Online	Accounts Cash Mo	oversents • Orders	 ✓ Settlements ✓ Sign ✓ Clier 	nt Acceptance • Plans				Logrut
Technica	al cash movements	ready to sign 👩			Created by	- select -			*
								SIGN 0 TRANSACTI	08(5)
+	From Client	Account	Beneficiary	Beneficiary account	Туре		Amount	Created	
				54 581	Stock exchange tax		500.0000 EUR		
🗆 👽 🚯				941	VAT		500.0000 EUR		

8.5.2 Actions

The following actions are possible:

- Cancel registration
SIGN 1 TRANSACTION(S)
- Sign Transactions(s)

8.5.2.1 Cancel registration

The user can reject a technical cash movement. A pop-up will appear to ask for confirmation of the rejection.

KBC	Securities Services Online • Acc	counts - Cash Move	nents • Orders	Settlements + Sign + (Client Acceptance • Plans		Logout
Tech	nnical cash movements rea	idy to sign 👩			Created by	- select	*
+	From Client	Account	Beneficiary	Beneficiary account	Туре	Amount	State Transactions;
	8	995 450			ax	500.0000 EUR	
	8	99, ,51		(]		500.0000 EUR	
				Reject?			
				Are you sure to reject this re	egistration?		
				Cancel	eject		

8.5.2.2 Sign Technical cash movement

The user can sign the instructions(s) individually or in bulk. The instruction(s) to be signed can be selected by the user, the number of selected Forex instructions will be shown in the upper right corner.

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```



KBC Sec	urities Services Online	• Accounts • Cash)	Movements • Orders	 ◆ Settlements ◆ Sign ◆ Of 	ient.Acceptance • Plans		Logout
Technic	al cash movements	ready to sign (9		Created by	- select -	•
							SIGN 2 TRANSACTION(S)
+	From Client	Account	Beneficiary	Beneficiary account	Туре	Amou	nt Created
2 🖸 🚯		95	-450	9450050 - 581	Stock exchange tax	500.0000 EU	R
V V		991	-451	9450050 - 581	VAT	500.0000 EU	R

For the selected instruction(s), the details will be shown when signing the instruction(s) by digipass. The user has to fill in the response on his digipass, and sign registration. The instructions will be validated one by one; the user will get a message of successful signing or error message in case of validation errors.

-								~			
KBC	Securities Services Online	 Accounts 	Cash Movements	• Orders • Se	ettlements 🗣 Sign	Client Acceptance	• Plans				Logout
Sign	n Cash Movements 👩										
+	From Client	Account	То	Account	Туре	6		Amount	Curr	Created	
			99, 450	9450050 - 581	Stoc	k exchange tax		500.0000	EUR		
			995451	9450050 - 581	WAT			500.0000	EUR		
Sign	Cash Movement(s)										×
	1. To unlock your digipass, press and	d hold 角 and 🗲 a	at the same time.	Challenge:		Response:					
	 Type in your pincode Press 2 to choose APPLI 2 			957456		Response			SIGN REGIS	STRATION	
	 Enter the challenge code to your d Fill in the response code. 	figipass.									
•	Securities Services Online	- áccounts	- Cach Movements	= Orders = Se	attlamante – Sim	- Client Accentance	- Plane				Lagout
KBC Securities	Securities Services Online	• Accounts	• commovements	• orders • se	etterienen • 5ign	• chemineceptinec	• T turis				Logour
Tech	nnical cash movement	ts ready to	sign				Created by	select			*
Cash	novements were successfully signed	d.									×



8.6 Sign Settlement Instructions

8.6.1 View

All settlement instructions that have been created by any user, will have to be signed in the "sign screen". By default, all settlement instructions that need to be signed will be shown. Additionally, a filter can be used to filter on the user who created the instruction.

Settleme	ent inst	ruction	s ready to sign 👩				Created by	select		*
Select All	Tuno	Action	Sondor reference	Account	Ponoficiano	ICIN	Quantity	Amount TD	SD.	SIGN 0 TRANSACTION(S)
	Type	Action	Sender reference	Account	beneficially	IJIN	Quantity	Amount	30	Place of custody
🗆 😲 主	RFP	cancel	TESTCOMMENT1	8450535-000		AU000000BEN6	100.0000	2017-06-14	2017-06-14	Sydney
🗆 📢 🚺	RFP			6321000-000	8450535000	FR0010208488	15.0000	2017-06-08	2017-06-12	Euronext Paris
🗆 😲 i	DFP			6321110-000	6321000000	BE0003797140	10.0000	2017-06-19	2017-06-21	Germany Deutsche Bank

8.6.2 Actions

The following actions are possible:

View details settlement instruction
 Cancel registration
 SIGN 1 TRANSACTION(S)
 Sign settlement instruction(s)

8.6.2.1 View details settlement instruction

Before signing the settlement instruction, the user can view all details concerning this instruction.

KBC Securities	ecurities Ser	vices Onli	ne • Accounts	← Cash Movements	← Orders ← Se	ettlements → Sign		Ready to sign settlemen	t settlementInstruction
Select A	l Type	Action	Sender reference	Account	Beneficiary	ISIN	Quantity		
I 🖓 i	RFP	cancel	TEST	6321844-000		BE0003764785	5.0000	Trade Details	
- 🖓 🖬	RFP			6321000-000	8450535000	FR0010208488	15.0000	Client: Created by:	00 00 TEST LISED
Qi	RFP			6321110-000		GB0031348658	10.0000	Create Date:	2017-12-12:16:04:18
	RFP			6321110-000		CNE00000R36	10.0000	Name: Settlement type:	External settlement
Qi	RVP			6321110-000		BE0003797140	10.0000	settlementInstruction type:	Receive free of payment
	RFP			6321000-000		FR0010208488	10.0000	ISIN:	BE0974258874
- Q	DFP	cancel	000038773383	6321110-000		BE0003797140	10.0000	Place of custody: Sender reference:	Euroclear Belgium
	RVP			6321000-000		XS0875304189	1,000.0000	Safekeeping account:	
	RFP	cancel	TEST	6321844-000		BE0003764785	5.0000	Quantity:	25 (UNIT)
	RVP			6321000-000		BE0974258874	10.0000	Trade date: Settlement date:	2017-12-11
	DFP	cancel	000038975688	6321000-000		US46428Q1094	100.0000	Settlement Details	
	DFP			6321000-000	6321110000	FR0010208488	100.0000	Place of settlement:	CIKBBEBB
	DFP			6321000-000		BE0974258874	100.0000	Receiving/Delivering agent: Receiving/Delivering	DEAG - BIC - CEKOCZPP - DECU - BIC - KREDBEBB -
	DFP			6321000-000	6321110000	BE0974258874	10.0000	custodian:	DECO DIC INCEDEED.

8.6.2.2 Cancel registration

Reject? Are you sure to reject this registration? Cancel Reject

The user can reject the settlement instruction. A pop-up will appear to ask for confirmation of the rejection.

8.6.2.3 Sign settlement instruction

The user can sign the instruction(s) individually or in bulk. The settlement instruction(s) to be signed can be selected by the user, the number of selected settlement instructions will be shown in the upper right corner.

Settleme	Settlement instructions ready to sign 👩							sele	ect		•
Select All	Туре	Action	Sender reference	Account	Beneficiary	ISIN	Quantity	Amount	TD	SD	SIGN 2 TRANSACTION(S) Place of custody
🗸 📢 🚺	RFP	cancel	TESTCOMMENT1	8450535-000		AU000000BEN6	100.0000		2017-06-14	2017-06-14	Sydney
🗹 📢 🧵	RFP			6321000-000	8450535000	FR0010208488	15.0000		2017-06-08	2017-06-12	Euronext Paris

For the selected settlement instruction(s), the details will be shown when signing the cash movement(s) by the selected sign method (digipass/4 eyes). The instructions will be validated one by one; the user will get a message of successful signing or error message in case of validation errors.

Settlement instructions ready to sign 🧿	Created by	select	*
Settlement instruction were successfully signed.			×

8.7 Sign Standing Instructions

8.7.1 View

When standing instructions are modified and registered via the 'register' button, they will have to be signed in the sign module. Other standing instructions can be registered before signing.

ittins Services									
reate Standing	Instructions for								
DRIP (D	Dividend Reinvestment):	CASH - Cash							
		MKTD - Market Defaul SECU - Securities	lt						
D	OVOP (Dividend Option):	C/GH - Casil							
RESET									REGISTER
	acctinity radiet	$\alpha \alpha \alpha + \alpha \infty$	andıng İnefri	iction(c)					
ou can	REGISTER NEW ST	ANDING INSTRU	CTION OF SI	ICTION(S).					
ou can	REGISTER NEW ST	anding instruc	anding Instru	ICTION(S).			~ ⊕ C Search	-	- p-
Ye succe You can	elack.com/tdign/tanding-instruction	anding instruc	anding Instru	Iction(s).			- ≜ C Search	-	(
iou can	REGISTER NEW ST	a • Accounts • Cash	Anding Instru CTION or SI	GN • Settlement	s • Static data	•Sign •Prod	- ≜ Ċ] Search luct - Reg	porting	- c .p]
Maper/hor-appr Maper/hor-appr Securitie Securitie Securitie Anding Ins	REGISTER NEW ST	ANDING INSTRUC	n Movements	GN Settlement	s • Static data	• Sign • Prod Orders	- @ C ∫ Sauch luct - Rep	orting	- α β+
Maps//bo-spre- tes Sarves Online (L., > Securitie Sarves Sarves Sarves Sarves	REGISTER NEW ST	a ANDING INSTRUC ANDING INSTRUC ACCOUNTS Cash to sign ()	Anding Instru CTION or Si Movements • Orders	GN • • Settlement	s • Static data	•Sign •Prod Orders Forex	- ≜ C] Seach	porting	(p)
Maps//bo-spre- Maps//bo-spre- Servers Online (L. > Servers Online (L. > Servers Online (L. > Servers Online (L. >	REGISTER NEW ST	ANDING INSTRUC ANDING INSTRUC Accounts Cash to sign ()	ANDING INSTRU CTION OF SI	GN • • Settlement	s • Static data	•Sign •Prod Orders Forex Cash movements Technical Cash m	- @ C] Sunch luct → Rep	porting	(,0) 1
iou can	REGISTER NEW ST	a ANDING INSTRUC ANDING INSTRUC ACCOUNTS Cash to sign (3)	Anding Instru CTION or Si	GN SN • Settlement	s • Static data	 → Sign → Prod Orders Forex. Cash movements Settlements 	 - a d ∫ Sauch uct - Rep ovements 	- porting	- c - p - 1 Sign o transaction
ect All	REGISTER NEW ST Medicamentalignetanding instruction is Decision structure es Services Online structions ready From client	a ANDING INSTRUC ANDING INSTRUC ACCOUNTS Cash to sign (3)	Anding Instru CTION or Si	GN SS Settlement	s • Static data	✓ Sign ✓ Prod Orders Forex Cash movements Technical Cash m Settlements Standing Instruct	 - a d] Sauch uct → Rep overnents ions 	porting	- C P+ SIGN 9 TRANSACTIO Created
iou can	REGISTER NEW ST REGISTER ST REGIST REGISTER ST REGISTER ST REG	a • Accounts • Cash to sign 3	Anding Instru CTION or Si	GN • • Settlement	s • Static data	• Sign • Prod Orders Forex Cash movements Technical Cash m Settlements Standing Instruct DRIP: SECU 2	• @ C] Search luct		- α β-1 SIGN ο TRANSACTIO Created 001310589
Maps//boo-appre- tics Service Online [L. > Securitie anding Ins	essrully regist REGISTER NEW ST et Machacementalizer transforger standing enstruction ess Services Online etructions ready From Client	a • Accounts • Cash to sign 3	n Movements • Orders	GN • Settlement	s • Static data	Sign Prod Orders Forex Cash movements Technical Cash m Settlements Standing instruct DRIP; SECU 20 DVOP; SECU 20	• @ C] Surch luct • Rep overnents lons 019-07-17 - 11: 019-07-17 - 11:		- ρ - β - SIGN ο TRAHSACTIO Created 001310589 001310589

8.7.2 Actions

The following actions are possible:

- Cancel registration
SIGN 1 TRANSACTION(S)
- Sign settlement instruction(s)

8.8 Sign BPO Management Updates

8.8.1 View

8.8.1.1 Product universe

When product is add/delete to/from product universe (Product universe submodule) via 'add'/'delete' button, these changes has to be signed in the sign module. Other product universe changes can be updated before signing.

Please, do not forget to sign the addition or removal of the product in the sign scored: For financial instrument() in your product universe: Image: Security Score Report Score Re	SSARCI (BNMDEV01) Logout
INST EXE INST EXE code Scartify Hame BPO Hame Instrument Type Carrency Place of Trade INST INST EXE code Scartify Hame BPO Hame Instrument Type Carrency Place of Trade INST INST EXE code Scartify Hame BPO Hame Instrument Type Carrency Place of Trade INST INST EXE code Scartify Hame BPO Hame INSTRUMENT Code INSTRUMENT Code <th>SEARCH (BNMDEVOI) Logout</th>	SEARCH (BNMDEVOI) Logout
INN EB INN INN	STARCH (BNMDEV01) Logout
INTOCOMENSAGE ISIN ISIN ISIN IS	SEARCH (BNMDEV01) Logout
ISIN EBI-code Security Name BD Name Instrument Type Currency Pace of Trade AT0000744499 005022001 VEBUND AG A SHARES EUR Viens We successfully added the product to your product universe. Versue Strate of Strate	(BNMDEV01) Logout
Image: Security is and the product to your product universe. Image: Security is and the product to your product universe. Image: Security is and the product to your product universe. Image: Security is and the product from your universe. Image: Security is and the product fr	(BNMDEV01) Logout
AT 0000744499 005212501 VERBUND AGA SHARES EUR Venna We successfully added the product to your product universe. Wu successfully added the product to your product universe. We successfully added the product to your product universe. We successfully added the product to your product universe. We successfully added the product to your product universe. We successfully added the product to your product universe. Statistic data Sign Product BPO Management Created by	(BNMDEV01) Logout
We successfully added the product to your product universe. You can all of a KENNY ANDTHER PRODUCT HIGH YOUR UNIVERSE of Sea Securities Services Online Accounts Cash Movements Orders Settlements Static data Sign Product BPO Management BPO Management updates ready to sign To Cash Movements Orders Settlements Static data Sign Product BPO Management Updates Central Advances Settlements Static data Sign Product BPO Management Updates Central Advances Settlements Static data Sign Product BPO Management Updates Central Advances Settlements Static data Sign Product BPO Management Updates Central Advances Settlements Static data Sign Product BPO Management Updates Central Advances Settlements Static Sign Product BPO Management Updates Central Advances Settlements Static Sign Product BPO Management Updates Central Advances Settlements Static S	(BNMDEV01) Logout
Select All ISIN ERI Security name BPO Name In BPO Management Updates Currency Place of Trade CreatedBy CreateBy CreateB	• TRANSACTION(S)
IU01223798900 1223798900 MLIF WORLD HEALTHSCIENCE CAP WED 4(7) \$127 INVESTMENT FUNDS BE CAPITALIZATION USD Investment Funds KBL BINMDEV01 2023-07-06 1613221 ID BE0044331593 094331001 VALUE SQUARE FD EQUITY SELECT C.K New try/for the test 444 INVESTMENT FUNDS BE CAPITALIZATION EUR Fondern RBC Devia BINMDEV01 2023-07-06 1433544	Fime Action
6 BE0948331591 0948331001 VALUE SQUARE FD EQUITY SELECT C K New try for the test 444 INVESTMENT FUNDS BE CAPITALIZATION EUR Fondsen RBC Dexia BNMDEV01 2023-07-06 14:35:54	Nod
	Nod
BE0946331991 0946331001 VALUE SQUARE FD EQUITY SELECT C K New try for the test 444 INVESTMENT FUNDS BE CAPITALIZATION EUR Fondsen RBC Dexia BINDEV01 2023-07-06 14:36044	Nod
🖸 LU1481505755 1041887001 ABN AMRO SIC-PARNUS E.EQ.A-EUR-K test INVESTMENT FUNDS BE CAPITALIZATION EUR Investment Funds Vestima BINMDEV01 2023-07-06 15:24:08	Nod
🔇 LU1481515755 1041887001 ABN AMRO SIC-PARILUS E.EQ.A-EUR-K test INVESTMENT FUNDS BE CAPITALIZATION EUR Investment Funds Vestima BIAMDEV01 2023-07-06 15:23:05	Nod
🛛 LU1461505755 1041887001 ABN AMRO SIC-PARNLYS E.EQ.A-EUR-K test INVESTMENT FUNDS BE CAPITALIZATION EUR Investment Funds Vestima BIANDEV01 2023-07-06 15:27/02	Nod
	Nod
	Nod
	Nod
Contract Contrect Contract Contract Contract Contract Contract Contract Contre	_
	Nod
Image: Control	Nod

8.8.1.2 Product update

When product is updated (Product Update submodule) via 'update values' button, these changes has to be signed in the sign module. Other product update changes can be updated before signing.

KBC Securitien Services	Securities Services Online				▼ Sign	• Product	→ BPO Management	В	NMDEV01 (BNMDEV01)	Logout
Find a f	inancial instrument via ISIN or ERI:									/
ISIN ISI	N	ERI							SEARCH	
We su You can	ccessfully created an update.	or SIGN								



KBC S	ecurities Service	s Online 🛛 🗸	Accounts	- Settlements - Static	data •Sign • Product • BPO Manag	jement				BNMDEV01 (BNMDF	EV01) Logout
ВРО Ма	nagement u	pdates rea	ady to sign 💿		Orders Forex Cash movements	Created by	- select				-
Solort All	ICIM	EDI	Corurity name	RDO Name	Settlements Standing Instructions BPO Management Updates	Currency	Disco of Trado	CroatedBy	CreationBata	SIGN 0 TRANS	SACTION(S)
	LU0122379950	1223799000	MLIIF WORLD HEALTHSCIENCE CAP	WED 6/7 1517	INVESTMENT FUNDS BE CAPITALIZATION	USD	Investment Funds KBL	BNMDEV01	2023-07-05	16:32:21	Mod
	LU1481505755	1041887001	ABN AMRO SICPARN.US E.EQ.A-EUR-K	test	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Investment Funds Vestima	BNMDEV01	2023-07-06	15:24:48	Nod
	LU1481505755	1041887001	ABN AMRO SICPARN.US E.EQ.A-EUR-K	test	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Investment Funds Vestima	BNMDEV01	2023-07-06	15:25:05	Mod
. 🖓	LU1481505755	1041887001	ABN AMRO SICPARN.US E.EQ.A-EUR-K	test	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Investment Funds Vestima	BNMDEV01	2023-07-06	15:27:02	Nod
	LU1481505755	1041887001	ABN AMRO SICPARN.US E.EQ.A-EUR-K	test	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Investment Funds Vestima	BNMDEV01	2023-07-06	15:27:09	Nod
	LU0122379950	1223799000	MLIIF WORLD HEALTHSCIENCE CAP	WED 6/7 1517	INVESTMENT FUNDS BE CAPITALIZATION	USD	Investment Funds KBL	BNMDEV01	2023-07-07	08:07:33	Mod
	BE0941243520	0941243001	ALIAXIS NV	BPO testing B	SHARES	EUR	Brussels Public Sales	BNMDEV01	2023-07-07	08:12:18	Mod
□ 👽	BE0003793107	0003793001	AB INBEV	BPO testing B	SHARES	EUR	Euronext Brussels	BNMDEV02	2023-07-10	14:20:22	Mod
- 🖓	AT0000746409	0065215001	VERBUND AG A		SHARES	EUR	Vienna	BNMDEV01	2023-07-11	08:55:58	ADD
. 🖓	BE0948331591	0948331001	VALUE SQUARE FD EQUITY SELECT C K	New try for the test 444	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Fondsen RBC Dexia	BNMDEV01	2023-07-11	09:18:38	Mod

8.8.2 Actions

For both (Product universe, Product Update), following actions are possible:

Cancel registration SIGN 1 TRANSACTION(S)

Sign BPO Management update(s)



9 Plans (Investment and Income Plans)

9.1 Overview

In the Plan overview, the client can see all plans of a specific selected account, regardless of the source (created via SSO, manually by KBC Securities Services or via the Client Portal). By default, client will see all plans of the selected account, but he can filter on some search criteria for the selected account.

Securities Securities Securities	ervices Online 🛛 🗕 Accou	nts 👻 Cash Movements	 ◆ Orders ◆ Settlements 	s ◆ Sign ◆ Client Acceptance	e ▼ Plans
					Overview
					Create Investment Pla Create Income Plan
Securities Se	ervices Online - Accounts	← Cash Movements ←	• Orders • Settlements •	• Sign • Client Acceptance • P	Plans
					Logout
Investment an	d income plans		for clien	Search client	
No client selected.					
					1
Name	Amount	Currency	Fund		
PLAN NAME	from		~		
	to				
☐ Active plans ☐ Ca	ncelled plans 🗌 Suspended p	lans			
RESET					SEARCH

Name

The client can enter a name in order to filter on the name of the investment or income plan.

Amount

The client can search for a plan between or equal to a range of 2 amounts (from - to).

Currency

A list of all currencies will be shown; the client can select a specific currency in the list or type the currency code.

Fund

The client can enter a fund name in order to filter by fund.

Additionally, the client can select the following plans:

- Active Plans
- Cancelled Plans
- Suspended Plans



Securi	ties	Services Onli	ne • Account	s 👻 Cash Mov	vements -	Orders • S	ettlements 🗸 S	ign ▼ Client A	cceptance	✓ Plans		Logout
Investmer	nt a	nd incom	e plans					f	or client	▼ 9451099 ·		
												1
Name			Amount			Curren	Fund					
PLAN NAME			from	to		-	~					
Active plans		Cancelled plans	Suspended	plans								
RESET												SEARCH
2 plans found												ĵ
4	Id	Status	Start	End	Name	Amount	Securities					Туре
i / ▶ ()	74	SUSPENDED	2018-12-05	0001-01-01	INV PLAN	1,000 EUR	TREETOP SEQUO	DIA EQUITY B USD,	TREETOP GLO	BAL OPPORTUNITIES B	USD	SAVING
	75	ACTIVE	2018-12-05	0001-01-01	IP TEST	500 EUR	TREETOP GL.SIC	SEQUOIA EQAH I	EUR-K, TREETO	DP GLOB.SIC TR.GL.DYN	AMIC-DH	INCOME

9.1.1 **Actions**

In the overview screen following actions are possible depending on the user's authority rights:



View plan 9.1.1.1

The client can view more details of the plan via the button **i**.

KBC Securities Services	Securi	ities	Services Onli	ne – Accounts	s 👻 Cash Mov	ements 🗸 🗸	Orders	✓ Settlements	▼ Sign	← Client Acce	eptance	Plan Details				×
Inve	estmer	nt a	nd incom	e plans						for	client	INCOME PLAN	IP TEST			
Name PLAN	I NAME tive plans		Cancelled plans	Amount from Suspended p	lans		CL	urrency - V	Fund			Client: Amount: Frequency: Start Date: End Date: Next Execution	Name	9451099 500 EUR Monthly 2018-12-05 2018-12-05	Amount	%
												LU0257173335	TREETOF	GL.SIC SEQUOIA EQAH EUR-K	250 EUR	50.00
2 plans	found											LU0694355305	TREETOF	GLOB.SIC TR.GL.DYNAMIC-DH D	250 EUR	50.00
4		Id	Status	Start	End	Name	Amount	Securiti	es							
	► II	74	SUSPENDED	2018-12-05	0001-01-01	INV PLAN	1,000 EU	IR TREETO	P SEQUOIA EQ	UITY B USD, TRI	EETOP					
1	► II	75	ACTIVE	2018-12-05	0001-01-01	IP TEST	500 EUR	TREETO	P GL.SIC SEQU	IOIA EQAH EUR	R-K, TRE					



9.1.1.2 Edit Plan

The client can edit () following data in a plan. More details on each field can be found in section 9.2 'Create investment plan' and section 9.3 'Create income plan'. A modified plan has to be signed by a different user in order to be confirmed. More details on the signing of

a plan can be found in section 4.

- Name _
- Comment -
- Amount _
- Currency -
- Frequency ('Running') _
- Start Date ('From') -
- End Date ('To') _
- Selected Funds (delete a fund, add a fund) -

dit Inve	estment plan							for client	• 9451099	
Name	INV PLAN		Cash ac	count	9451099 404 000 EUR	R	~	Securities	Models	
Comment	123		Security ac	count	9451099 - TRADING A	ACC. IN CUSTO		ISIN	Name	Curren
								+ LU06943	55214 TREETOP GLOB. SIC DYNAMIC -AH EUR-K	EUR
Amount	1000 E	UR#						+ LU06943	5487 TREETOP GLOB. SIC BALANCED-AH EUR-K	EUR
								+ LU03058	2035 TREETOP CV SIC CONVERJINT,-CH GBP-K	GBP
numler	Quarterlu V	from 05/12/2018			to Enddate			+ LU06943	5560 TREETOP GLOB. SIC BALANCED-DH EUR-D	EUR
on and a second	Quarterly 🔽	1011 03/12/2010			to End date			+ LU03384	00046 TREETOP GLOBAL OPPORTUNITIES C GBP	GBP
								+ LU06751	16062 TREETOP PORT-SIC PATRIM.CONSERP-K	EUR
→ Next n	un date is 2018-12-05 .							+ LU06943	5305 TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D	EUR
→ Next n	un date is 2018-12-05 .							+ LU06943	55305 TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D 17697 TREETOP SEQUOIA EQUITY C GBP	EUR
→ Next n Selecte	un date is 2018-12-05. ed funds	ISIN		Currency	Amount	Percenta	ge	 LU06943 LU02858 LU05644 	5305 TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D 17697 TREETOP SEQUOIA EQUITY C GBP 19937 PERINVEST HARBOUR US EQUITY A USD	EUR GBP USD
 Next.n Selector TREETOR 	in date is 2018-12-05. ed funds DP SEQUOIA EQUITY B USD	ISIN LU025	7174143	Currency USD	Amount 600.00 EUR	Percenta 60	ge %	 LU06943 LU02858 LU05644 LU05644 	15305 TREETOP GLOBLSIC TR.GLDYNAMIC-DH D 17697 TREETOP SEQUOIA EQUITY C GBP 19937 PERINVEST HARBOUR US EQUITY A USD 10273 PERINVEST HARBOUR US EQUITY B EUR	EUR GBP USD EUR
 Next n Selecte TREETO TREETO 	in date is 2018-12-05 . ed funds DP SEQUOIA EQUITY B USD DP GLOBAL OPPORTUNITIES B USD	ISIN LU025	7174143	Currency USD	Amount 600.00 EUR	Percenta 60 40	5	 LU069433 LU02858 LU026444 LU056444 LU056444 LU056444 	15305 TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D 17697 TREETOP SEQUIOLA EQUITY C GBP 19937 PERINVEST HARBOUR US EQUITY A USD 19273 PERINVEST HARBOUR US EQUITY B EUR 19037 PERINVEST HARBOUR US EQUITY G GBP	EUR GBP USD EUR GBP
Selector	an date is 2018-12-05. ed funds DP SEQUOIA EQUITY B USD DP GLOBAL OPPORTUNITIES B USD	ISIN LU025 LU083	7174143 8394058	Currency USD USD	Amount 600.00 EUR 400.00 EUR	Percenta 60 40	ge %	 LU06943; LU02858; LU05644; LU05644; LU05644; LU05644; LU05644; 	15305 TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D 17697 TREETOP SEQUIOLA EQUITY C GBP 19937 PERINVEST HARBOUR US EQUITY A USD 19273 PERINVEST HARBOUR US EQUITY B EUR 19403 PERINVEST HARBOUR US EQUITY C GBP 19434 TREETOP PATRIMOINE INTERNAT.P EUR	EUR GBP USD EUR GBP EUR
Next n Selecte TREETO TREETO	in date is 2018-12-05. ed funds DP SEQUON EQUITY B USD DP GLOBAL OPPORTUNITIES B USD	ISIN LU025 LU033	7174143 88394058	Currency USD USD	Amount 600.00 EUR 400.00 EUR 1,000.00 EUR	Percenta 60 40	ge 76 76	 LU06943; LU02858 LU05644; LU05644; LU05644; LU05644; LU05644; LU0671; 	15305 TREETOP GLOBLSIC TR.GLDYNAMIC-DH D 17697 TREETOP SEQUIOLA EQUITY C GBP 19937 PERINVEST HARBOUR US EQUITY A USD 199273 PERINVEST HARBOUR US EQUITY BEUR 19030 PERINVEST HARBOUR US EQUITY C GBP 15841 TREETOP PATRIMOINE INTERNAT.P EUR 13335 TREETOP GL.SIC SEQUOIA EQAH EUR K	EUR GBP USD EUR GBP EUR EUR



Securities Services	irities Se	ervices Online 🗸 Ac	counts 🗢 Cash Movem	ents 🗸 Orders	- Settlements	▼Sign ▼	Client Acceptance 🛛 🗣 P	Plans				
Edit Inve	stmen	nt plan										
We success You can cr	Sfully m	odified the plan.										
	Name	INV PLAN					Cash account	9451099 404 000) EUR		~]
Con	mment	123					Security account	9451099 - TRADI	NG ACC. IN CUSTO	DY (AVAILABLE POSITION)	\checkmark]
Ar	mount	1500		EUR≠								
running	Quarterly			from 05/:	12/2018			to End date				
→ Next rur	n date is 20	018-12-05.										
	Selected	l funds			ISI	IN	Curre	ency A	mount	Perce	ntage	
a	TREETOP	P SEQUOIA EQUITY B USD			LU	J0257174143	USD	7	50.00 EUR	50	96	
0	TREETOP	P GLOBAL OPPORTUNITIES	B USD		LU	10338394058	USD	4	50.00 EUR	30	%	
Ċ.	TREETOP	P GLOBAL OPPORTUNITIES	S C GBP		LU	10338400046	GBP	3	00.00 EUR	20	%	
								1	,500.00 EUR	100%		
										Calculations a	re indicativ	ve.
										MODIFY INVEST	MENT PLAN	

9.1.1.3 **Activate Plan**

The client can activate () a plan that previously has been suspended. An activated plan has to be signed by a different user in order to be confirmed. More details on the signing of a plan can be found in section 4.

Secur Securities Services	ities	Services Onl	ine – Accoun	ts ▼ Cash Mo	vements •	Orders •	Settlements	✓ Sign	✓ Client	Acceptance	- Pla	ns		Logout
Investme	nt a	nd incom	e plans							for client	*	9451099 -		
														1
Name PLAN NAME			Amount from	to		Currer	ncy 🗸	Fund						
Active plans		Cancelled plans	Suspended	plans										
RESET														SEARCH
2 plans found]
4	Id	Status	Start	End	Name	Amount	Securiti	es						Туре
i / ► II	74	SUSPENDED	2018-12-05	0001-01-01	INV PLAN	1,000 EUR	TREETO	P SEQUOIA E	QUITY B US	D, TREETOP GI	OBAL O	PPORTUNITIES	S B USD	SAVING
	75	ACTIVE	2018-12-05	0001-01-01	IP TEST	500 EUR	TREETO	P GL.SIC SEC	QUOIA EQAI	HEUR-K, TREE	TOP GLC	B.SIC TR.GL.D	YNAMIC-DH	INCOME



Investment and income plans	Inter His Sector	Services Online		iments • Orders	◆ Settlements ◆ Sign ◆ Clier	t Acceptance • Plans		Logout
New Aeed Packhand Image New Image <	Investment a	nd income p	Jans				for client • 9451099	×
Charles Services Online Activate dian Securities Services Online Activate dian Activate dian Activate dian Activate dian Activate dian Activate dian Activate dian Activate dian Activate dian	Name PLAN NAME		from	to	Curre	scy Fund		
2 plans found i <td>Active plans</td> <td>Cancelled plans [</td> <td>] Suspended plans</td> <td></td> <td></td> <td></td> <td></td> <td>SEARCH</td>	Active plans	Cancelled plans [] Suspended plans					SEARCH
id Status Statu End iiii iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	2 plans found	_			-	\checkmark		
Activate this plan? Are you sure? Click "OK" to activate this plan. Are you sure? Click "OK" to activate this plan. Cancel OK Securities Services Online • Accounts • Cash Movements • Orders • Services • Plans Investment and income plans Activated plan "INV PLAN"	† ■ ≠ ► Ⅱ 🗈	Id Status	Start 2018-12-05	End 0001-01-01	Name INV PLAN	U.	BAL OPPORTUNITIES B USD	Type S4/ms
Are you sure? Click 'OK' to activate this plan. Cancel OK Cancel OK Investment and income plans Activated plan 'INV FLAN'		75 ACTIVE	2018-12-05	0001-01-01	IP TEST AC	tivate this plan?	IP GLOBISIC TRIGLIDYNAMIC-DH	INCOME
Securities Services Online • Accounts • Cash Movements • Orders • Stellent Acceptance • Plans Cong Investment and income plans for client • #451099- • #451099-					Are you s	cancel		
Investment and income plans for client • 8451009- Activated plan 'INV PLAN' * ***********************************	Securities	Services Online	 ✓ Accounts ✓ Cash Mov 	rements • Orders	 ◆ Settlements ◆ Sign ◆ Cliet 	it Acceptance + Plans		Logout
Activated plan 'INV PLAN'								
Proceed to the state page to confirm the transaction.	Investment a	ind income	plans				for client • 9451099 ·	
2 plans found	Activated plan * Proceed to the	INV PLAN'	plans				for client • 9451099	×
Id Status Start End Name Amount Securities Type	Activated plan ¹ Proceed to the Sa 2 plans found	INV PLAN ⁴	plans				for client • 9451099-	×
Image: Second	Activated plan * Proceed to the	INV PLAN ¹ INV PLAN ¹ M page to confir	plans In the transaction.	End	Name Amount	Securities	for client • 9451099-	Х

9.1.1.4 Suspend Plan

The client can suspend (^{III}) a plan in case the end-customer requires putting a plan on hold for a limited period of time.

. A suspended plan has to be signed by a different user in order to be confirmed. More details on the signing of a plan can be found in section 4.

Securitie	es Servic	es Online 🔹 🗸	ccounts 🗢 Cash Mor	vements • Orders	- Settlements	- Sign	Client Acceptance Plans		Logout
Investment	and ir	ncome plans	Ê					for client • 9451099	
									1
Name PLAN NAME			Amount from	to			Currency Fund		
Active plans] Cancell	ed plans 🗌 Susp	ended plans						
RESET								_	SEARCH
2 plans found	_						(\Box)		
+	Id	Status	Start	End	Name		\bigcirc		Туре
	74	ACTIVE	2018-12-05	0001-01-01	INV PLAN		Suspend this plan?	BAL OPPORTUNITIES B USD	SO/NS INCOME
						A	re you sure? Click "OK" to suspend this plan.		
							Cancel OK		



Securitie	s Services Online	Accounts Cash Moven	nents 👻 Orders	- Settlements	 ◆ Sign ◆ Client A 	cceptance + Plan	5				Logo
Investment	and income pla	ns						for client	▼ 9451099		
Suspended pla	In 'IP TEST'	transaction.									×
2 plans found	_										
÷	Id Status	Start	End	Name	Amount	Securities					Туре
	74 SUSPENDED 75 ACTIVE	2018-12-05 2018-12-05	0001-01-01 0001-01-01	INV PLAN	1,000 EUR 500 EUR	TREETOP SEQUO	IA EQUITY B USD, TREETOP GI SEQUOIA EQAH EUR-K, TREE	LOBAL OPPORTUNITIE	ES B USD DYNAMIC-DH		SAUTING INCOME
Securities	Services Online •	Accounts • Cash Hoverne	ents • Orders •	Settlements	Sign Client Acc	optance • Plans					Logout
Plans ready to	sign							Created by	- select		*
Select All	Account	Status	Туре		Start Date	End Date	Next Execution	Name	Frequency	Amount	SHCH & TRANSACTION(S) Created
- 13 11	9451099-000		INVE	STMENT	2018-12-05		2018-12-05	INVPLAN	Quarterly	1,000.0000 FUR	
	9451099-000		INCO	IME	2018-12-05		2018-12-05	IP TEST	Monthly	500.0000 EUR	

9.1.1.5 **Cancel Plan**

The client can cancel/stop (🕗) a plan. Note that a plan with an end date will be closed automatically at the end date.

A manually cancelled/stopped plan has to be signed by a different user in order to be confirmed. More details on the signing of a plan can be found in section 4.

KRC Securities	Servic	es Online 🔹 Ad	ccounts 🔶 Cash Mov	ements + Orders	 Settlements 	Sign		Logout
Investment a	nd ir	ncome plans					for client • 9451099	
								/
Name PLAN NAME			Amount from	to		Currency Fund		
Active plans	Cancell	led plans 🗌 Suspe	ended plans					
RESET							_	SEARCH
2 plans found	_					\bigcirc		
,	Id	Status	Start	End	Name			Туре
🛯 / Þ II 🚺	74	SUCPENDED	2018-12-05	0001-01-01	INV PLAN	Cancel this plan?	BAL OPPORTUNITIES B USD	Skites
🖪 🖉 🕨 🖬 🚺	75	ACTIVE	2018-12-05	0001-01-01	IP TEST	Cancel this plan?	OP GLOB.SIC TR.GL.DYNAMIC-DH	BLCOHE.
						Are you sure? Click "OK" to cancel this plan.		
						Cancel OK		

KBC Secretion Services	Securities	Service	s Online 🔹 🗛	ccounts • Cash Mov	ements • Orders	 Settlements 	 ◆ Sign ◆ Clie 	ent Acceptance • Pla	ans			Logout
Inve	stment a	nd in	come plans							for client	▼ 9451099	
Can Proce	celled plan '	INV PLA	IN [*] e to confirm the tra	nsaction.								×
2 plans	found											
4		Id	Status	Start	End	Name	Amount	Securities				Туре
1	► II 🔒	74	SUSPENDED	2018-12-05	0001-01-01	INV PLAN	1,000 EUR	TREETOP SEQU	JOIA EQUITY B USD, TREETC	OP GLOBAL OPPORTUNI	ITIES B USD	SAVING



KBC Securi	ties Services Online		Cash Movements	← Orders	 ✓ Settlements 	➡ Sign	 ✓ Client Acceptance 						Logout
Plans ready	to sign									Created by	- select -		*
□ Select All	Account	Status			Туре		Start Date	End Date	Next Execution	Name	Frequency	Amount	SIGN © TRANSACTION(S) Created

 Image: Second


9.2 Create Investment Plans

The client can create an investment plan by selecting an end-customer account.

Securities Services Online		sh Movements 🔹 Order	s • Settlements	▼ Sign				Logout
Investment and income plan	ns					Overview Create Investment Plan	for client • 9451099 -	
						Create Income Plan		1
Name	Amount				Currency	Fund		
PLAN NAME	from	to			· .			
Active plans Cancelled plans Su	uspended plans							

Following fields have to be completed when creating a saving plan.

Field Name	Description	Mandatory/Optional
Name	Enter a name of the saving plan.	Mandatory
Comment	Enter some specific comments for internal use.	Optional
Amount	Enter an amount for the saving plan. This amount is checked on predefined minimum and maximum amounts allowed for a saving plan. A warning is given when the entered amount is not in the range of these minimum and maximum amounts for a plan.	Mandatory
Currency	Select the currency of the amount entered for the saving plan. For end-customers with only 1 currency account (online end- customers) the currency is entered automatically and cannot be changed. For end-customers with more than 1 currency cash account (wealth end-customers), the currency has to be selected	Mandatory
Cash Account	The cash account is entered automatically when selecting the currency of the plan amount. This field cannot be changed.	Mandatory
Securities Account	The securities account is filled automatically. This field cannot be changed.	Mandatory
Running	Enter the frequency of the saving plan. Possible frequencies depend on the end-customer type (online or Wealth)	Mandatory
From	Enter the start date of the plan.	Mandatory
То	Enter the end date of the plan.	Optional
Securities	Select a fund from the list	
Models	Select a model with one or more funds	
Percentage	Enter a percentage of the total plan amount to invest per fund. The sum of percentages for all selected funds in a plan has to be equal to 100. When entering a percentage the amount per fund is calculated automatically.	Mandatory

The client can select funds out of a list 'Securities' or a portfolio model 'Models' on the right of the screen. Adding a fund or a model is possible via the button before the fund or the model. Up to 5 funds can be added to the saving plan. A fund can only be added once to the same plan.

9.2.1 Selection list 'Securities'

Select a fund from the 'securities' list via¹. The fund will then be added to the 'selected funds' area. The client can add up to 5 funds.

A selected fund can be removed via the button $^{ imes}$

Complete the percentage for the selected fund(s). Default there is no percentage completed. In this case

the amount of the fund is 0,00. Via the 'mouse over' on the warning button 🔺 the client sees a warning related to the required minimum investment amount of the fund.

KBC Bank NV - Havenlaan 2 - 1080 Brussels - Belgium - VAT BE 0462.920.226 - RLP Brussels -
Member of the KBC group – securitiesservices.kbc.be
<vdecember2024></vdecember2024>



Error messages are shown when

CREATE INVESTMENT PLAN

Create the plan by clicking on the button mandatory fields are not correctly filled in.

A created plan has to be signed by a different user in order to be confirmed. More details on the signing of a plan can be found in section 4.

reate	e Investment Plan			for client	*	9451099 -	×
Name	PLAN NAME	Cash account		Securities	Мо	9451099 - MASCETTI ALDO FLORIAN	
mment	COMMENT	Security account	9451099 - TRADING ACC. IN 🔽	ISIN		Name	Currenc
				+ LU0694	355214	TREETOP GLOB. SIC DYNAMIC -AH EUR-K	EUR
unt	Amount CURRENCY-			+ LU0694	355487	TREETOP GLOB. SIC BALANCED-AH EUR-K	EUR
				+ LU0305	892035	TREETOP CV SIC CONVER.INTCH GBP-K	GBP
				+ LU0694	355560	TREETOP GLOB. SIC BALANCED-DH EUR-D	EUR
nning	g h	om Start date	to End date	+ LU0338	400046	5 TREETOP GLOBAL OPPORTUNITIES C GBP	GBP
				+ LU0675	136062	2 TREETOP PORT.SIC PATRIM.CONSERP-K	EUR
				+ LU0257	174143	3 TREETOP SEQUOIA EQUITY B USD	USD
o seci	urities selected! Select a security	on the right by clicking the 'plus' b	button.	+ LU0694	355305	5 TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D	EUR
			Calculations are indicative.	LU0285	817697	TREETOP SEOUOIA EOUITY C GBP	GBP
				LU0564	489937	PERINVEST HARBOUR US EQUITY A USD	USD
ESET			CREATE INVESTMENT PLAN				
Securiti e Inve	ies Services Online →Accounts →Cas	1 Movements ← Orders ← Settlements	 ◆Sign Client Acceptance ◆Plans 	for client	490273 •	PERINVEST HARBOUR US EQUITY B EUR TEST TRE	FUR ETOP 1 (RELT
Securiti e Inve	ies Services Online → Accounts → Cas estment Plan	nMovements → Orders → Settlements	•Sign •Client Acceptance •Plans	for client	490273 •	9 PERINVEST HARBOUR US EQUITY B EUR TEST TRE 9451099 - MASCETTI ALDO FLORIAN	EUR
Securiti e Inve _{Name}	ies Services Online • Accounts • Cas estment Plan ABC	Movements • Orders • Settlements Cash account	Sign Client Acceptance Plans 9451099 404 000 EUR	for client	490273 • §	PERINVEST HARBOUR US EQUITY B EUR TEST TRE M51099 - MASCETTI ALDO FLORIAN	EUR
ecuriti e Inve lame ment	ies Services Online • Accounts • Cas estment Plan ABC COMMENT	1 Movements • Orders • Settlements Cash account Security account	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC, IN CUSTODY (AVAILABLE)	for client	490273 • §	8 PERINVEST HARBOUR US EQUITY B EUR TEST TRE 951099 - MASCETTI ALDO FLORIMI	EUR ETOP 1 (RELTT
ecuriti e Inve ame nent	ies Services Online • Accounts • Cas estment Plan ABC COMMENT	Novements • Orders • Settlements	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099-TRADING ACC. IN CUSTODY (AVAILABLE)	← LU0564 for client Securities Mod ISIN LU090435214	+90273	PERINVEST HARBOUR US EQUITY B EU TEST TRE ASSOBO - MASCETTI ALDO FLORIAN OP GLOB. SIC DYNAMIC -AH EUR-K	EUR
ecuriti 2 Inve aame ment	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR•	Movements Orders Settlements Cash account Security account	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 TRADING ACC, IN CUSTODY (AVAILABLE)	← LU0564 for client Securities Mod ISIN LU0694355214 LU0305822035	490273 v s els Name TREET(PERINVEST HARBOUR US EQUITY B EUR TEST TRE M451099- MASCETTI ALDO FLORIAN OP GLOB. SIC DYNAMIC -AH EUR-K OP CUSIC CONVERINTCH GBP-K	FUR ETOP 1 (RELTT
ecuriti e Inve lame ment	ies Services Online •Accounts •Cas estment Plan ABC COMMENT 1000 EUR+	Movements • Orders • Settlements	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE)		490273 estimates to the second secon	PERINVEST HARBOUR US EQUITY B EU TEST TRE M51099 - MASCETTI ALDO FLORIAN OP GLOB. SIC DYNAMIC -AH EUR-K OP CV SIC CONVERJINTCH GBP-K OP GLOB. SIC BALANCED-DH EUR-D	FUR ETOP 1 (RELTT
ecuriti e Inve lame ment ount	ies Services Online +Accounts Cas estment Plan ABC COMMENT 1000 EUR+ from from from from from from from from	Movements Orders Settlements Cash account Security account Start date	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 10 End date	← LU0564 for client Securities Mod ISIN LU0984355214 LU0984355560 LU0098435560 LU0098435560 LU0098435560	490273	PERINVEST HARBOUR US EQUITY B EU TESTTRE M31099-MASCETTIALDO FLORIAN DP GLOB. SIC DYNAMIC -AH EUR-K OP CV SIC CONVERINTCH GBP-K OP GLOB. SIC BALANCED-DH EUR-D DP GLOBAL OPPORTUNITIES C GBP	FUR ETOP 1 (RELTT
ecuriti e Inve lame ment ount	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR•	Novements	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) to End date		¢90273	PERINVEST HARBOUR US EQUITY B EUR TEST TRE TEST TRE DP GLOB. SIC DYNAMIC -AH EUR-K OP GLOB. SIC DYNAMIC -AH EUR-K OP GLOB. SIC DYNAMIC -AH EUR-K OP GLOB. SIC BALANCED-DH EUR-D OP GLOB. SIC BALANCED OP GLOB. SIC BALANCED-DH EUR-D OP GLOB. SIC BALANCED OP GLOB. SIC BALANCED OP GLOB. SIC BALANCE	FUR ETOP 1 (RELTT
Securiti e Inve Name ment nount	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR+ from	Novements • Orders • Settlements Cash account Cash account Security account Start date ISIN Curren	• Sign • Client Acceptance • Plans 9451099 404 000 EUR 9451099 - TRADING ACC, IN CUSTODY (AWAILABLE) 9451099 - TRADING ACC, IN CUSTODY (AWAILABLE) to End date		¢90273	PERINVEST HARBOUR US EQUITY B EUR TEST TRE HS1099 - MASCETTI ALDO FLORIAN DP GLOB. SIC DYNAMIC -AH EUR-K OP GLOB. SIC DYNAMIC -AH EUR-K OP GLOB. SIC BALANCED-DH EUR-D OP GLOB. SIC BALANCED-DH EUR-D OP GLOBAL OPPORTUNITIES C GBP OP FORT.SIC PARIM.CONSER.P-K OP SEQUOIA EQUITY B USD	ETOP 1 (RELTT
e Inve ame ment count	ies Services Online Accounts Cas estment Plan ABC COMMENT 1000 EUR- from funds GIDB SIC BALANCEDLAH EUR.X	Novements	• Sign • Client Acceptance • Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) to End date Non Euro 900 EUR		490273	PERINVEST HARBOUR US EQUITY B EUR TEST TRE MS1099 - MASCETTI ALDO FLORIAN POLOB. SIC DYNAMIC -AH EUR-K OP GLOB. SI	FUR ETOP 1 (RELTT
Securiti e Inve weent enount elected f	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR• from funds GLOB. SIC BALANCED-AH EUR-K	Novements Orders Settlements Cash account Cash account Security account Start date ESIN LU0694355487 EUR	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) to End date ncy Amount Percentage A 0.00 EUR 6	← LU0564 for client Securities Mode ISIN LU0984355214 LU098435550 LU098435550 LU0984355305 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 LU09845558 L	490273	PERINVEST HARBOUR US EQUITY B EU TEST TRE TEST TRE ASSOBILITATION OF LORIAN DP GLOB, SIC DYNAMIC -AH EUR-K OP GLOB, SIC TR, GLDYNAMIC -AH EUR-K OP GLOB, SIC TR, GLDYNAMIC -AH EUR-K OP SEQUOIA EQUITY B USD OP SEQUOI	
e Inve Name ment sount	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR• 1000 from funds GLOB. SIC BALANCED-AH EUR-K	Movements • Orders • Settlements Cash account Cash account Start date Start date ISIN Current LU0694355487 EUR	 Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 9451090 - TRADING ACC. IN CUSTODY (AVAILABLE) 945109 - TRADING ACC. IN CUSTODY (AVAILABLE) 	LU0564 for client Securities Mod ISIN LU098435520 LU098435520 LU098435560 LU09843560 LU0984560 LU09843560 LU0984560 LU0984560 LU0984560 LU0984560 LU0984560	490273	PERINVEST HARBOUR US EQUITY B EU TESTTRE HASCETTIALDO FLORIAN DP GLOB. SIC DYNAMIC -AH EUR-K OP CV SIC CONVERINTCH GBP-K OP CV SIC CONVERINTCH GBP-K OP GLOB. SIC BALANCED-DH EUR-D OP GLOB. SIC BALANCED-DH EUR-D OP GLOB. SIC TRAIL DYNAMIC CH D PORT.SIC PATRIM.CONSERP-K OP SUDOLA EQUITY B USD OP GLOB. SIC TR.GL. DYNAMIC CH D PORT.SIC PATRIM.CONSERP-K OP SUDOLA EQUITY C BUP //EST HARBOUR US EQUITY A USD ////////////////////////////////	FUR ETOP 1 (RELTT (() () () () () () () () ()
e Inve ame ment ount	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR• funds GLOB. SIC BALANCED-AH EUR-K	Movements • Orders • Settlements Cash account Security account Start date LU0694355487 EUR	 Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 9451099 - TRADING ACC. IN CUSTODY (AVAILABLE) 040 End date content 	LUOS64 for client Securities Mod ISIN LU094355214 LU09435550 LU09435550 LU09435550 LU095435305 LU095435305 LU0257174143 LU095435305 LU025817097 LU025817097 LU025817097 LU025817097 LU025817097 LU056440927	the second	PERINVEST HARBOUR US EQUITY B EUR test tree test test	FUR ETOP 1 (RELTT 1 1 1 1 1 1 1 1 1 1 1 1 1
ecuriti e Inve ame nent sunt	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR+ from from funds GLOB. SIC BALANCED-AH EUR-K	Movements • Orders • Settlements Cash account Security account Start date LU0694355687 EUR	Sign Client Acceptance Plans 9451099 404 000 EUR 9451099 - TRADING ACC, IN CUSTODY (AVAILABLE) 10 End date ncy Amount Percentage 0.00 EUR 95 0.00 EUR 10 Calculations are indicative Calculations are indicative	LUOS64 for client Securities Mod ISIN LU0064355214 LU006435550 LU033840046 LU03543066 LU0255174643 LU025517697 LU0285417697 LU0285417697 LU0564489937 LU05644943023 LU0564490430 LU0564490430	PERINN PERINN	PERINVEST HARBOUR US EQUITY B EUR test tree test test tree test tree	ETOP 1 (RELTT
ecuriti e Inve ame ment ount	ies Services Online • Accounts • Cas estment Plan ABC COMMENT 1000 EUR+ form form funds GLOB. SIC BALANCED-AHEUR-K	I Movements • Orders • Settlements Cash account Security account Start date Security account ISIN Current LU0694255487 EUR	• Sign • Client Acceptance • Plans 9451099 404 000 EUR 9451099 - TRADING ACC, IN CUSTODY (AVAILABLE) 9451099 - TRADING ACC, IN CUSTODY (AVAILABLE) 0 0 End date ncy Amount Percentage 0.00 EUR 0.00 EUR Calculations are indicative	LU0564 for client Securities Mod ISIN LU096435550 LU03542005 LU038400046 LU067513662 LU0259174143 LU069435305 LU0259174143 LU056449937 LU056449937 LU0564490430 LU05541997 LU0554391767 LU056490430 LU0554391767 LU056490430 LU0554391767 LU05543917 LU0554391 LU05543917 LU0554391 LU055439 LU055439 LU055439 LU055439 LU055439 LU055439	490273	PERINVEST HARBOUR US EQUITY B EUR test tree test test tree test t	FUR ETOP 1 (RELTI



ter terden	ties Services Unline • Accounts	G Cash Movem	lents ♥ Orders ♥ Se	ettiements 🔻 S	ign 👻 Client Acceptai	ice • Plans				Log
Create Inv	restment Plan						for clie	nt	▼ 9451099·	
Name	ABC			Cash account	9 404 000 EUR	•	Securities	Models	5	
Comment	COMMENT		Se	curity account	9451099 - TRADING ACC	. IN CUSTODY (AVAILABLE	ISIN		Name	Currency
							+ LU069435	55214	TREETOP GLOB. SIC DYNAMIC -AH EUR-K	EUR
Amount	1000	EUR+					+ LU030589	2035	TREETOP CV SIC CONVER.INTCH GBP-K	GBP
							+ LU069435	55560	TREETOP GLOB. SIC BALANCED-DH EUR-D	EUR
					10 100 100		1U033840	00046	TREETOP GLOBAL OPPORTUNITIES C GBP	GBP
running		from Star	t date		to End date		+ LU067513	86062	TREETOP PORT.SIC PATRIM.CONSERP-K	EUR
							+ LU025717	74143	TREETOP SEQUOIA EQUITY B USD	USD
Select	ted funds		ISIN	Currenc	y Amount	Percentage	+ LU069435	5305	TREETOP GLOB.SIC TR.GL.DYNAMIC-DH D	EUR
TREET	OP GLOB. SIC BALANCED-AH EUR-K		LU0694355487	EUR	500.00 EUR	50 96	+ LU028581	17697	TREETOP SEQUOIA EQUITY C GBP	GBP
TREET	OP GLOB.SIC OPPORTUNAH EUR-K		LU0338386468	EUR	500.00 EUR	50 96	+ LU056448	39937	PERINVEST HARBOUR US EQUITY A USD	USD
							+ LU056449	00273	PERINVEST HARBOUR US EQUITY B EUR	EUR
					1,000.00 EUR	200%	+ LU056449	90430	PERINVEST HARBOUR US EQUITY C GBP	GBP
						Calculations are indicative.	+ LU067513	35841	TREETOP PATRIMOINE INTERNAT.P EUR	EUR
RESET						CREATE INVESTMENT PLAN	+ LU025717	73335	TREETOP GL.SIC SEQUOIA EQAH EUR-K	EUR
							LU033839	94058	TREETOP GLOBAL OPPORTUNITIES B USD	USD

9.2.2 Selection list 'Models'

Select a model from the 'Models' list via¹. The fund will then be added to the 'selected funds' area. The client can add up to 5 funds. A fund can only be added once to the same plan.

A selected fund can be removed via the button

The percentage per fund is completed automatically. In case the minimum amount per fund is not OK a warning ('mouse over' on the warning button (A)) is shown to change the percentage per fund or to change the plan amount. It is ofcourse also possible to remove a selected fund via the button

Create the plan by clicking on the button . Error messages are shown when mandatory fields are not correctly filled in.

A created plan has to be signed by a different user in order to be confirmed. More details on the signing of a plan can be found in section 4.



eatel	nvestment Plan			for client • 9451099 -
Name	ABC	Cash account	9451099 404 000 EUR	Securities Models
mment	COMMENT	Security account	9451099 - TRADING ACC. IN CUSTC	Model Name
				+ Conservative Patrimo
nount	1000 EUR+			LU1229301061 TREETOP GLOBAL CONSERVATIVE A EUR
				Balanced Patrimonial
nning	from	start date	to End date	LU0694355487 TREETOP GLOB. SIC BALANCED-AH EUR-K
0				🛨 Growth Patrimonial
				LU0694355214 TREETOP GLOB. SIC DYNAMIC -AH EUR-K
o securit	ies selected! Select a security on the rig	ht by clicking the 'plus' button.		LU0694355487 TREETOP GLOB. SIC BALANCED-AH EUR-K
				+ Dynamic Patrimonial
			Calculations are indicative.	LU0694355214 TREETOP GLOB, SIC DYNAMIC -AH EUR-K
SET			CREATE INVESTMENT PLAN	Balanced II
SET			CREATE INVESTMENT PLAN	Balanced II BE6275981817 TREETOP BEV WORLD EQUITY INDEX A

reate l	Investment Plan	8							for client	• 9451099 -	
Name	ABC		Car	sh account	94	51099 404 000 EU	JR	~	Securities	Models	
Comment	COMMENT		Securi	ty account	94	51099 - TRADING	ACC. IN CU	JSTC	Model Name		
									🛨 Conserva	tive Patrimo	
Amount	500	EUR₩							LU1229301061	TREETOP GLOBAL CONSERVATIVE A EUR	100%
									Balanced	Patrimonial	
running	~	from	Start date		to	End date			LU0694355487	TREETOP GLOB. SIC BALANCED-AH EUR-K	100%
									Growth P	atrimonial	
1000			1022.0	12.000 X X		00004301400	102800000		LU0694355214	TREETOP GLOB. SIC DYNAMIC -AH EUR-K	50%
Select	ted funds		ISIN	Currency		Amount	Percer	ntage	LU0694355487	TREETOP GLOB, SIC BALANCED-AH EUR-K	50%
TREET	OP GLOB. SIC DYNAMIC -AH	EUR-K	LU0694355214	EUR		250.00 EUR	50	96	+ Dynamic	Patrimonial	
TREET	OP GLOBAL CONSERVATIVE	EAEUR	LU1229301061	EUR	A	125.00 EUR	25	96	LU0694355214	TREETOP GLOB, SIC DYNAMIC -AH EUR-K	100%
			111000-0075-007	511B	٨		- 26	06	Balanced	н	
TREET	OP GLOB. SIC BALANCED-A	H EUR-K	LU0694355487	EUR	-	125.00 EUR	25	70	BE6275981817	TREETOP BEV WORLD EQUITY INDEX A	20%
						500.00 EUR	100%		LU0694355214	TREETOP GLOB. SIC DYNAMIC -AH EUR-K	20%
						Calcul	ations are i	ndicative.	LU1229301061	TREETOP GLOBAL CONSERVATIVE A EUR	60%
									Growth II		
RESET						CREAT	E INVESTMEN	NT PLAN	PE6175001017	TREETOR REV WORLD FOUNTY INDEX A	(m)



Securities Services. KBC Moving forward together.

Create	Investment Plan					for client	*	9451095	
Name	ABC	Cas	h account	9451099 404 000 E	UR 🔽	Securities	Model	s	
Comment	COMMENT	Securit	y account	9451099 - TRADING	S ACC. IN CUSTC	Model Name			
	\sim					+ Conserv	ative Pat	rimo	
Amount	1000	EUR₩				LU122930106	1 TRE	TOP GLOBAL CONSERVATIVE A EUR	100%
						+ Balance	d Patrimo	onial	
running		from Start date		to End date		LU069435548	7 TRE	ETOP GLOB. SIC BALANCED-AH EUR-K	100%
running		indir Start date		Lind date		Growth	Patrimon	ial	
						LU069435521	4 TREI	TOP GLOB. SIC DYNAMIC -AH EUR-K	50%
Selec	cted funds	ISIN	Currency	y Amount	Percentage	LU069435548	7 TRE	TOP GLOB. SIC BALANCED-AH EUR-K	50%
1 TREE	TOP GLOB. SIC DYNAMIC -AH EUR-	LU0694355214	EUR	500.00 EUR	50 %	🛨 Dynamic	: Patrimo	nial	
TREE	TOP GLOBAL CONSERVATIVE A EUF	LU1229301061	EUR	250.00 EUR	25 %	LU069435521	4 TREI	TOP GLOB. SIC DYNAMIC -AH EUR-K	100%
						🛨 Balance	d 11		
TREE	TOP GLOB. SIC BALANCED-AH EUR	-K LU0694355487	EUR	250.00 EUR	25 %	BE627598181	7 TRE	ETOP BEV WORLD EQUITY INDEX A	20%
				1,000.00 EUR	100%	LU069435521	4 TRE	TOP GLOB. SIC DYNAMIC -AH EUR-K	20%
				Calcul	lations are indicative.	LU122930106	1 TRE	ETOP GLOBAL CONSERVATIVE A EUR	60%
				-		+ Growth	ii ii		
RESET				CREAT	E INVESTMENT PLAN	BE627598181	7 TRE	TOP BEV WORLD EQUITY INDEX A	2555

Create Investment Plan

We success You can CR	Sfully crea	ated the plan.									
Nan	me Al	BC				Cash account	t 9	451099 404 000 EUR			•
Comme	ent	OMMENT				Security account	t 9	451099 - TRADING ACC. IN CUST	ODY (AVAILABL	E POSITI	
Amou	unt 10	000	EUR₩								
running M	Monthly		from	05/12/2018			to	End date			
S	TREETOP GL	LOB, SIC DYNAMIC -AH EUR-K			LU0694355214	E	UR	500.00 EUR		50	%
tin	TREETOP GL	LOBAL CONSERVATIVE A EUR			LU1229301061	E	UR	250.00 EUR		25	96
т	TREETOP GL	LOB. SIC BALANCED-AH EUR-K			LU0694355487	E	UR	250.00 EUR		25	96
								1,000.00 EUR	l	100%	
									Calculation	ns are înc	dicative.
RESET									CREATE INV	ESTMENT	PLAN



9.3 Create Income Plans

The client can create an income plan by selecting an end-customer account.

Cash Novements • Settlements • Sign • Client Acceptance	• Plans		Logout
Investment and income plans	Overview for client	• 9451099	
	Create Income Plan		/
Securities Services Online ~ Accounts ~ Cash Movements ~ Orders ~ Settlement	s + Sign + Client Acceptance + Plans		Logout
Securities Services Online - Accounts - Cash Movements - Orders - Settlement	s +Sign +ClientAcceptance +Plans for client	- select account	- Logou

Following fields have to be completed when creating an income plan.

Field Name	Description	Mandatory/Optional
Name	Enter a name of the income plan.	Mandatory
Comment	Enter some specific comments for internal use.	Optional
Amount	Enter an amount for the income plan. This amount is checked on predefined minimum and maximum amounts allowed for an income plan. A warning is given when the entered amount is not in the range of these minimum and maximum amounts for a plan.	Mandatory
Currency	Select the currency of the amount entered for the saving plan. For end-customers with only 1 currency account (online end-customers) the currency is entered automatically and cannot be changed. For end- customers with more than 1 currency cash account (wealth end-customers), the currency has to be selected	Mandatory
Cash Account	The cash account is entered automatically when selecting the currency of the plan amount. This field cannot be changed.	Mandatory
Securities Account	The securities account is filled automatically. This field cannot be changed.	Mandatory
Running	Enter the frequency of the saving plan. Possible frequencies depend on the end-customer type (online or Wealth)	Mandatory
From	Enter the start date of the plan.	Mandatory
То	Enter the end date of the plan.	Optional
Securities	Select a fund from the list. This list is limited to the holdings in the securities account of the end-customer.	
Percentage	Enter a percentage of the total plan amount to invest per fund.	Mandatory

KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels – Member of the KBC group – securitiesservices.kbc.be

<VDecember2024>



The sum of percentages for all
selected funds in a plan has to
be equal to 100. When entering
a percentage the amount per
fund is calculated automatically.

The client can select funds out of a list 'Securities' on the right of the screen.

Select a fund from the 'securities' list via. The fund will then be added to the 'selected funds' area. The client can add up to 5 funds. A fund can only be added once to the same plan.

A selected fund can be removed via the button $^{ imes}$.

Complete the percentage for the selected fund(s). Default there is no percentage completed. In this case

the amount of the fund is 0,00. Via the 'mouse over' on the warning button 🔺 the client sees a warning related to the required minimum sell amount of the fund.

CREATE INCOME PLAN

. Error messages are shown when mandatory

Create the plan by clicking on the button fields are not correctly filled in.

A created plan has to be signed by a different user in order to be confirmed. More details on the signing of a plan can be found in section 4.

Securit	ties Services Online	+ Accounts	→ Cash Movements	→ Orders → Set	ttlements 👻 S	Sign 🚽 Client Acceptance	★ Plans			_		Logout
Create In	ncome Plan							for client	9451771 - DOE JOHN			•
Name	PLAN NAME			Cash Account		,						× CLOSE
Comment	COMMENT			Security Account	9451771 - TRA	DING ACC. IN CUSTODY (,	Securities				
Amount	· · ·	CURRENCY						ISIN	Name	Quantity	Value	Currency
	Amount	CORRENCT						+ LU0564490430	PERINVEST HARBOUR US EQUITY C GBP	889.746	119323.84	GBP
								+ LU0221833386	TREETOP CONVERTIBLE PAC B USD	197.041	64132.9	USD
								+ LU1229301061	TREETOP GLOBAL CONSERVATIVE A EUR	22.615	2198.63	EUR
running	•	trom	Start Date		to End Dat	te		+ LU0694355214	TREETOP GLOBAL DYNAMIC A EUR	4.709	684.12	EUR
							-	+ LU0257173335	TREETOP SEQUOIA EQUITY A EUR	1169.317	167773.6	EUR
								+ LU0257174143	TREETOP SEQUOIA EQUITY B USD	956.937	141837.2	USD
No securitie	es selected! Select a security	on the right by o	clicking the 'plus' butto	n.				+ BE6275981817	TREETOP WORLD EQUITY INDEX A	0.746	662.22	EUR
RESET FORM						CREATE INCOME PLAN						
securiti	ies Services Online	▼ Accounts	- Cash Movements	≁ Orders → Set	tlements v S	ign 🗸 Client Acceptance	+ Plans					Logout
Securiti Create Ind	ies Services Online come Plan	- Accounts	→ Cash Movements	→ Orders → Set	tlements 👻 S	sign → Client Acceptance	+ Plans	for client	9451771 - DOE JOHN			Logout
Securiti oritis Create Inc Name	ies Services Online come Plan	← Accounts	→ Cash Movements	✓ Orders ✓ Set Cash Account	ttlements → S 9451771 404 0	iign - Client Acceptance 00 978 EUR	+ Plans	for client [9451771 - DOE JOHN			× CLOSE
Create Inc Name Comment	ies Services Online come Plan ABC COMMENT	→ Accounts	→ Cash Movements	 → Orders → Set Cash Account Becunty Account 	+ S 9451771404 00 9451771 - TRA	ign + Client Acceptance 00972EUR DING ACC. IN CUSTODY (→ Plans	for client (9451771 - DOE JOHN			× CLOSE
Create Inc Name Comment	come Plan ABC COMMENT	- Accounts	→ Cash Movements	- Orders - Set Cash Account Security Account	9451771 404 0 9451771 - TRA	iign → Client Acceptance 00978 EUR DING ACC. IN CUSTODY (+ Plans	for client Securities	9451771 - DOE JOHN Name	Quantity	r Value	 Logout * Currency
Securiti Create Inc Name Comment Amount	Ies Services Online come Plan ABC COMMENT 500	+ Accounts	- Cash Movements	- Orders - Set Cash Account Security Account	9451771 404 00 9451771 - TRA	tign → Client Acceptance 00978 EUR DING ACC. IN CUSTODY (- Plans	for client	9451771 - DOE JOHN Name PERINVEST HARBOUR US EQUITY C GBP	Quantity 889.746	7 Value 119323.84	Currency GBP
Create Inc Name Comment Amount	ies Services Online come Plan ABC COMMENT 500	+ Accounts	- Cash Movements	- Orders - Set Cash Account Security Account	tlements + S 9451771 404 00 9451771 - TRA	iign - Client Acceptance 00 978 EUR DING ACC. IN CUSTODY (- Plans	for client	9451771 - DOE JOHN Name PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PAC B USD	Quantity 889.746 197.041	7 Value 119323.04 64132.9	Logout *
Securiti Create Ind Name Comment Amount	Ies Services Online come Plan ABC COMMENT 500	+ Accounts	+ Cash Movements	✓ Orders ✓ Set Cash Account Security Account	ttlements → S 9451771 404 0 9451771 - TRA	iign + Client Acceptance 00 978 EUR DING ACC. IN CUSTODY (→ Plans	for client Securities ISIN ↓ LU0564490430 ↓ LU0221833386 ↓ LU0221833386 ↓ LU0221833386 ↓ LU0221833386 ↓ LU022183386	9451771 - DOE JOHN 9451771 - DOE JOHN Name PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PAC B USD TREETOP GLOBAL CONSERVATIVE A EUR	Quantity 889.746 197.041 22.615	 Value 119323.04 64132.9 2198.63 	Currency GBP USD EUR
Securiti Create Inc Name Comment Amount	ies Services Online come Plan ABC COMMENT 500 Quarterly	+ Accounts EUR+	+ Cash Movements 05/10/2015	Orders Set Cash Account Security Account	tlements - S 9451771 404 0 9451771 - TRA to End Dat	iign + Client Acceptance 00978 EUR DING ACC. IN CUSTODY (10	→ Plans	for client	Name PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PACE B USD TREETOP GLOBAL CONSERVATIVE A EUR ment to the plan, ^{VYAMICA EUR}	Quantity 889.746 197.041 22.615 4.709	 Value 119323.04 64132.9 2198.63 684.12 	Logout * * CLOSE Currency GBP USD EUR EUR
Securiti ortho Name Comment Amount	ies Services Online come Plan ABC COMMENT 500 Quarterly	+ Accounts EUR+ from	+ Cash Movements 05/10/2015	- Orders - Set	tlements - 5 94517714040 9451771-TRA to End Dat	tign + Client Acceptance 00578 EUR DING ACC. IN CUSTODY (1 te	- Plans	for client Securities ISIN LU0564490430 LU022183386 LU1225901061	Name PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PAC B USD TREETOP COLONERWITVE A EUR ment to the plan	Quantity 889.746 197.041 22.615 4.709 1169.317	 Value 11932.84 64132.9 2198.63 684.12 167773.6 	Logout * * CLOSE Currency GBP USD EUR EUR EUR EUR
Securiti orthin Create Inc Name Comment Amount	ies Services Online come Plan ABC COMMENT 500 Quarterly	+ Accounts	- Cash Movements 05/10/2015	- Orders - Set Cash Account Security Account	tilementa + 5 9451771 404 00 9451771 - TRA to End Dat	iign + Client Acceptance 00972 EUR DING ACC. IN CUSTODY (•	v Plans	for client [Securities ISIN ▲ LU0564490430 ▲ LU02183386 LU022930161 LU022930161 LU0227173355 ▲ LU025717443	Name PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PAC B USD TREETOP GLOBAL CONSERVATIVE A EUR TREETOP SEQUOIA EQUITY A EUR TREETOP SEQUOIA EQUITY A USD	Quantity 889.746 197.041 22.615 4.709 1169.317 956.937	 Value 119323.84 64132.9 2198.63 684.12 167773.6 141837.2 	Logout
Securitie Create Inc Name Comment Amount running	ies Services Online come Plan ABC COMMENT 500 Quarterly	Accounts EUR from on the right by c	- Cash Movements 05/10/2015 licking the 'plus' buttor		tiements - 5 9451771 404 0 9451771 - TRA to End Dat	iign + Client Acceptance 00 978 EUR DING ACC. IN CUSTODY (- Plans	for client Securities ISIN ↓ LU0564490430 ↓ LU02183386 ↓ LU025173335 ↓ LU025717443 ▶ BE6275981817	Name PERINVEST HARBOUR US EQUITY C GBP TREETOP GLOBAL CONSERVATIVE A EUR TREETOP GLOBAL CONSERVATIVE A EUR TREETOP SEQUOIA EQUITY A EUR TREETOP SEQUOIA EQUITY BUSD TREETOP WORLD EQUITY INDEX A	Quantity 889,746 197,041 22,615 4,709 1169,317 956,937 0,746	Value 119323.84 64132.9 2198.63 684.12 167773.6 141837.2 662.22	Logout * * CLOSE Currency GBP USD EUR EUR EUR EUR EUR
Securiti Create Inc Name Comment Amount running	ies Services Online come Plan ABC COMMENT 500 Quarterly sselected: Select a security	+ Accounts	- Cash Movements 05/10/2015 licking the 'plus' buttor	Orders Set Cash Account Security Account	 4451771-4040 9451771-TRA to End Dat 	client Acceptance	- Plans	for client [Securities	AMATE PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PAC B USD TREETOP GLOBAL CONSERVATIVE A EUR TREETOP SEQUOIA EQUITY A EUR TREETOP SEQUOIA EQUITY B USD TREETOP WORLD EQUITY INDEX A	Quantity 883.746 197.041 22.615 4.709 1169.317 956.937 0.746	Y Value 119323.84 64132.9 2198.63 684.12 167773.6 141837.2 662.22	Currency GBP USD EUR EUR EUR USD EUR
Securiti Create Inc Name Comment Amount Irunning No securitie: RESET FORM	ies Services Online come Plan ASC COMMENT 500 Quarterly ss selected! Select a security	+ Accounts	- Cash Movements 05/10/2015	- Orders - Set	11ementa + 5 94517714040 9451771-TRA to End Dat	Calculations are indicativ	- Plans	for client [Securities ISIN ↓ LU0554490430 ↓ LU0221833061 ↓ LU0251374143 ↓ LU0257174143 ↓ BE6275961817	Name PERINVEST HARBOUR US EQUITY C GBP TREETOP CONVERTIBLE PAC B USD TREETOP GLOBAL CONSERVATIVE A EUR TREETOP GLOBAL CONSERVATIVE A EUR TREETOP SEQUOIA EQUITY A EUR TREETOP SEQUOIA EQUITY B USD TREETOP WORLD EQUITY INDEX A	Quantity 889.746 197.041 22.615 4.709 1169.317 956.937 0.746	Value 119323.84 64132.9 2198.63 684.12 167773.6 141837.2 662.22	Currency GBP USD EUR EUR EUR EUR EUR EUR EUR



cuce m	come Plan						for client	9451771 - DOE JOHN			
Name	ABC			Cash Account	9451771 404 000 978 EU	R 🔻					X CL
omment	COMMENT			Security Account	9451771 - TRADING AC	C. IN CUSTODY (Securities				
Amount	500	FUD-					ISIN	Name	Quantity	Value	Curre
	500	EOR¢					+ LU0564490430	PERINVEST HARBOUR US EQUITY C GBP	889.746	119323.84	6 GBP
							+ LU0221833386	TREETOP CONVERTIBLE PAC B USD	197.041	64132.9	USD
							+ LU0694355214	TREETOP GLOBAL DYNAMIC A EUR	4.709	684.12	EUR
nning	Quarterly 🔻	from	05/10/2015		to End Date		+ LU0257173335	TREETOP SEQUOIA EQUITY A EUR	1169.317	167773.6	EUR
							+ LU0257174143	TREETOP SEQUOIA EQUITY B USD	956.937	141837.2	USD
Selected	l funds		ISIN	Currency	Amount	Percentage	➡ BE6275981817	TREETOP WORLD EQUITY INDEX A	0.746	662.22	EUR
TREETO	P GLOBAL CONSERVATIVE A EUR		LU1229301061	EUR	A 0.00 EUR						
					The amount of eve	ery security should be at	least 50 EUR. Change	the total amount of money or increase th	ne percentage	e.)	
					Calcu	lations are indicative.				_	
ESET FORM						CREATE INCOME PLAN					
COLITION											

Securities													
Create In	ncome Plan								for client	9451771 - DOE JOHN			*
Name	ABC		Cas	Account	9451771 404 000	978 EUR	¥						* CLOSE
Comment	COMMENT		Securi	y Account	9451771 - TRADI	ING ACC. IN CU	JSTODY (Securities				
									ISIN	Name	Quantity	Value	Currency
Amount	500	EUR+							LU0564490430	PERINVEST HARBOUR US EQUITY C GBP	889.746	119323.84	GBP
									LU0221833386	TREETOP CONVERTIBLE PAC B USD	197.041	64132.9	USD
									LU0694355214	TREETOP GLOBAL DYNAMIC A EUR	4.709	684.12	EUR
running	Quarterly 🔻	from	05/10/2015		to End Date			- E	LU0257173335	TREETOP SEQUOIA EQUITY A EUR	1169.317	167773.6	EUR
								E	LU0257174143	TREETOP SEQUOIA EQUITY B USD	956.937	141837.2	USD
Select	ed funds		ISIN	Currency	Amount	Р	ercentage		BE6275981817	TREETOP WORLD EQUITY INDEX A	0.746	662.22	EUR
TREET	OP GLOBAL CONSERVATIVE A EUR		LU1229301061	EUR	500.00 EUR		100 %						
					500.00 EUR	E	100%						
						Calculations	are indicative.						
RESET FORM	I					CREATE	E INCOME PLAN						

KBC Securities	Securit	ies Services On	ine + A	ccounts	→ Cash Movements	+ Orders	→ Settlement	s v Sign	+ Client Acceptance	+ Plans	S				Logou
Cre	ate In	come Plan									for client	9451771 - DOE JOHN			*
We You	succes: can CR	Sfully registered	d the plan												
	Name	ABC				Cash Acco	unt 9451	771 404 000 972							× CLOSE
Co	mment	COMMENT				Security Acco	unt 9451	771 - TRADING	ACC. IN CUSTODY (Securities				
											ISIN	Name	Quantity	Value	Currency
A	mount	500		EUR+							+ LU056449043	0 PERINVEST HARBOUR US EQUITY C GBP	889.746	119323.84	GBP
											+ LU022183338	6 TREETOP CONVERTIBLE PAC B USD	197.041	64132.9	USD
											+ LU069435521	4 TREETOP GLOBAL DYNAMIC A EUR	4.709	684.12	EUR
run	nning	Quarterly	•	from	05/10/2015		to	End Date			+ LU025717333	5 TREETOP SEQUOIA EQUITY A EUR	1169.317	167773.6	EUR
											+ LU025717414	3 TREETOP SEQUOIA EQUITY B USD	956.937	141837.2	USD
	Selecte	ed funds			ISIN	c	urrency A	mount	Percentage		+ BE627598181	7 TREETOP WORLD EQUITY INDEX A	0.746	662.22	EUR
÷	TREETO	DP GLOBAL CONSER	ATIVE A EUF	2	LU12293010	61 E	UR 5	0.00 EUR	100 %						
-							56	0.00 EUR	100%						
								Ca	lculations are indicative.						
RE	SET FORM								CREATE INCOME PLAN						



10 Settlement Instructions

10.1 General principles

- All instructions that are entered in SSO will follow a **4-eye principle**: first one user enters the instruction details. The system stores the instruction in a waiting room. Then a user needs to check and authorize the instruction before it is delivered to the back-end of KBC Securities Services. The same 4-eyes principle also applies to cancelling an instruction. Information about who created and who authorized an instruction is available in the instruction follow-up overviews.
- **Cancel instructions are not shown** as a separate instruction. Only New instruction will be shown, with status "Cancel Pending" or "Cancelled".
- Archived settlement instructions cannot be viewed in SSO. A settlement instruction will be archived 3 months after settlement or cancellation.
- **Basic validations** are performed during instruction creation. The full SI validation is performed only when the instruction is delivered to TPS.
- Changes in instruction data are sent to the front-end using a **push mechanism**. This means that the user will have up-to-date information about the status of his instructions, without having to refresh manually.
- When creating an internal settlement instruction, only one instruction is needed.

10.2 Overview

In the overview, all settlement instructions of the client are shown, regardless of where they have been created: via Swift, SSO or manually by KBC Securities Services.

By default, all open settlement instructions are visible. Open instructions are settlement instructions that are not settled or have not been cancelled. The instructions are ranked by input date, which means that the most recent settlement instructions are at the top.

Secu	irities Se	ervices Onli	ine	+ Accounts + Cas	h Movemen	ts + Orders	* Settlements	+ Sign						
e Settle	ment Inst	ructions 10												
tetus			Se	ender reference		ISIN		Account						
Open		•		SENDER REFERENCE		ISIN		9451607 -						
reated b	у					Instruction type					Place	of custody		
-selec	user ~				•	-select instruction	type-			٠	- 5	elect place of custody -		
uantity f	rom		to					Amount from			to		Currency	
0								0			-		- select currency	
ade dat	+ from		to					Settlement date f	rom		to			
dd/MM/	inni			dd/MM/yyyy				dd/MM/59597			dd/l	MM/yyyy		
	Туре	Status	Held	Sender reference	Account	ISIN	Quantity	Amount	TD	SD		Place of custody	Created	On
	Type	Status	Held	Sender reference	Account	ISIN RE0003876936	Quantity	Amount	TD 2015-11-16	SD 2015	1.10	Place of custody	Created	On 2015
	DFP	Validated		TESTFBSS0281010	9451607	LU0012006317	400.0000		2015-10-27	2015-1	10-28	BANK DEGROOF LU		2015-
1	RVP	Validated		TESTFBSS028103	9451607	LU0694355560	100.0000	11,900.0000 EUR	2015-10-28	2015-1	10-29	BANK DEGROOF LU		2015-
1	DFP	Validated		TESTFBSS028102	9451607	LU0012006317	100.0000		2015-10-28	2015-1	10-29	BANK DEGROOF LU		2015-
1	RFP	Validated		TESTFBSS028101	9451607	LU1117668860	100.0000		2015-10-28	2015-	10-29	BANK DEGROOF LU		2015-
1	RVP	Validated		SVR TEST 22	9451607	U\$5949181045	12.0000	1,200.0000 USD	2015-10-27	2015-	10-29	US BONY		2015-
1	RFP	Validated		SVR TEST 21	9451607	BE0003876936	12.0000		2015-10-26	2015-1	10-26	Euroclear Belgium		2015-
1	DFP	Validated		SVR SPERRINGEN	9451607	BE0003876936	12.0000		2015-10-27	2015-1	10-30	Euroclear Belgium		2015-
1	RFP	Validated		SVR TEST TT	9451607	BE6275985859	20.0000		2015-10-27	2015-:	10-30	CACEIS BELGIUM (CB)		2015-
	DEP	Validated		TEST SVR	9451607	BE0003876936	1.0000		2015-10-27	2015	0.20	Germany Deutsche Bank		2015

An extensive list of search criteria has been added in the active overview. The client can filter on one or more search criteria. The selected search criteria can easily be deleted by pressing the "clear" button.



Possible search criteria: Status

Possible parameters:

- Cancel Pending
- Cancelled
- Matched
- Open
- Settled
- Validated

Instruction type

Possible parameters:

- Deliver against payment
- Deliver free of payment
- Receive against payment
- Receive free of payment

Sender reference

In this field, a client can search on the sender reference. Automatically a wildcard will be added at the end, which means that all instructions starting with this reference will be shown.

Account

In this field, the client can select the safekeeping account with KBC Securities Services. All accounts that have been setup for the user will be visible and can be selected. The format of the safekeeping account is always 7 digits + 000.

ISIN

In this field, the user can input the ISIN-code of the security.

Place of custody

A list of all possible places of custody will be shown; the client can select a specific place of custody.

Currency

A list of all currencies will be shown; the client can select a specific currency in the list or type the currency code.

Quantity from – Quantity to

In this numerical field, the client can fill in the quantity.

Amount from – Amount to

In this numerical field, the client can fill in the amount.

Trade Date from – Trade Date to

The client can select a trade date in the calendar, or type a specific trade date.

Settlement Date from - Settlement Date to

The client can select a settlement date in the calendar, or type a specific settlement date.

With the 🚺 "View details" button, the client can see the details of the settlement instructions.

10.3 Create Internal SI

It is possible to create an Internal Settlement Instruction, to settle between two Client Accounts.

Create Internal Settleme	nt Instruction
Trade Details	
Function	New Message
From client: *	Select from dient
To client: *	Select to client
Financial instrument *	Q
Place of custody *	- select
Sender reference *	Use auto reference
Quantity *	
Trade date *	dd/mm/yyyy
Settlement date *	dd/mm/yyyy
RESET	CREATE INTERNAL SETTLEMENT INSTRUCTION

Trade Details:

Function

The function will always be NEWM (new message). Clients will be able to cancel instructions via the "overview" screen, not via the "create" screen.

From Client

Client account where the security is to be transferred from.

To Client

Client account where the security is to be transferred to.

Financial Instrument

In this field, the user can manually input the ISIN-code of the security, or he can select a security by clicking on the magnifying glass:

- Select from my accounts: the client can query on the securities positions on his account.
 - Select a security with the following parameters (uncheck the "select from my accounts" box):
 - o ISIN
 - o Name
 - o Internal Code KBC Securities Services
 - o Security Group (share, bond, ...)
 - o Place of Custody (list of possible places of custody)

Place of custody

A list of places of custody that are possible for the selected security will be shown. If the chosen security has only one place of custody, then this place of settlement will be filled in automatically.

Sender Reference

In this field, a client can fill in the sender reference, or he can choose to select an automatically generated reference. The sender reference has to be a unique reference per safekeeping account.

Quantity

In this numerical field, the client can fill in the quantity.

Settlement Amount

In this numerical field, the client can fill in the settlement amount. This field is only mandatory for instructions against payment.

Trade Date

The client can select a trade date in the calendar, or type a specific trade date.

Settlement Date

The client can select a settlement date in the calendar, or type a specific settlement date.



10.4 Create External SI

Create External Settleme	entInstruction	
Trade Details		
Function	New Message	
Instruction type *	select	~
Safekeeping account *	▼ Search account	
Financial instrument *	٩	
Place of custody *	select	~
Sender reference *	Use auto reference	
Quantity *		
Settlement amount	select	\checkmark
Trade date *	dd/mm/yyyy	
Settlement date *	dd/mm/yyyy	
RESET		CREATE

Trade Details:

Function

The function will always be NEWM (new message). Clients will be able to cancel instructions via the "overview" screen, not via the "create" screen.

Instruction Type

Possible parameters:

- Deliver against payment
- Deliver free of payment
- Receive against payment
- Receive free of payment

Safekeeping Account

In this field, the client can select the safekeeping account with KBC Securities Services. All accounts that have been setup for the user will be visible and can be selected. The format of the safekeeping account is always 7 digits + 000.

Financial Instrument

In this field, the user can manually input the ISIN-code of the security, or he can select a security by clicking on the magnifying glass:

- Select from my accounts: the client can query on the securities positions on his account.
 - Select a security with the following parameters (uncheck the "select from my accounts" box):
 - o ISIN
 - o Name
 - o Internal Code KBC Securities Services
 - o Security Group (share, bond, ...)
 - Place of Custody (list of possible places of custody)

Place of custody

A list of places of custody that are possible for the selected security will be shown. If the chosen security has only one place of custody, then this place of settlement will be filled in automatically.

Sender Reference

In this field, a client can fill in the sender reference, or he can choose to select an automatically generated reference. The sender reference has to be a unique reference per safekeeping account.

Quantity

In this numerical field, the client can fill in the quantity.

Settlement Amount

In this numerical field, the client can fill in the settlement amount. This field is only mandatory for instructions against payment.

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```



Trade Date

The client can select a trade date in the calendar, or type a specific trade date.

Settlement Date

The client can select a settlement date in the calendar, or type a specific settlement date.

Settlement details:

Place of Settlement

The place of settlement is linked to the place of custody in combination with the type of security (bonds, shares, ...) and will be filled in automatically.

Receiving/Delivering Agent (REAG/DEAG) = Custodian counterparty Party

The client can fill in the receiving or delivering agent, depending on the type of instruction (receive or deliver).

- BIC-code: the BIC-code has to be typed by the client
- External code: the external code of the place of settlement will automatically be offered, for example DTC, DAKV, The client can select the specific external code and fill in the code.
- Name: if the receiving/delivering agent does not have a BIC-code or an external identifier code, the client can type the name of the receiving/delivering agent.

Account

In this field, the client can fill in the account of the receiving/delivering agent with the global custodian.

Buyer/Seller (BUYR/SELL) = Counterparty

Party

A client can fill in the buyer/seller, depending on the type of instruction (receive or deliver).

- BIC-code: the BIC-code has to be typed by the client
- External code: the external code of the place of settlement will automatically be offered, for example DTC, DAKV, The client can select the specific external code and fill in the code.
- Name: if the counterparty does not have a BIC-code or an external identifier code, the client can type the name of the receiving/delivering agent.

Account

In this field, the client can fill in the account of the buyer/seller with the receiving/delivering agent.

Settlement Details	
Place of settlement	SICVFRPP
Delivering agent [DEAG]	
Party *	BIC PARTY CODE
Account	
Seller [SELL]	
Party	select PARTY CODE
Account	

Optional parameters:

Receiving/Delivering Custodian (RECU/DECU) = Global Custodian of the Counterparty Party

A client can fill in the receiving/delivering custodian, depending on the type of instruction (receive or deliver).

- BIC-code: the BIC-code has to be typed by the client
- External code: the external code of the place of settlement will automatically be offered, for example DTC, DAKV, The client can select the specific external code and fill in the code.
- Name: if the receiving/delivering custodian does not have a BIC-code or an external identifier code, the client can type the name of the receiving/delivering agent.



Account

In this field, the client can fill in the account of the buyer/seller with the receiving/delivering agent.

After completing all mandatory details, the client can confirm the transaction by clicking on the "Create" button. The screen can be cleared by clicking on the "Clear" button. When the transaction is created, a pop-up will appear with the confirmation that the transaction has been created, stating the client reference and mentioning the need to sign the instruction.



Instruction with Sender Reference 000038800001 was successfully created, please note that the settlement instruction has to be signed.



								10 AL-2010	Creation Details		
Doen			5	ender reference		- term		Ascount	Created by:	2017 11 12 2012222	
								Seridor - With	Trade Detaile	5012-11-10 - 03/45153	
Created b						tostruction type			Settlement type:	External settlement	
Quantity h	iom -		-10					Amount from	Instruction type:	Deliver free of payment	
									Financial instument	NYRSTAR NV REconstrates	
Trade date	e from		80					Settlement date	Place of ouslody:	Euroclear Belgium	
								did/With/99997	Sender reference:	TEST SVR 3	
									sareweeping account	3427001-000	
RESET									Quantity:	12 (UNIT)	
									Settlement date:	2015-11-19	
	Туре	Status	Held	Sender reference	Account	ISIN	Quantity	Amount	Settlement Details		
8	DFP	Validated		TEST SVR 3	9451607	BE0003876936	12.0000		Place of settlement:	CIKBBEBB	
-						1100000000			Receiving/Delivering agent	REAG - BIC - GKCCBEBB -	
	UPP				9451007		400.0000				
	RVP	Validated		TESTFBSS028103	9451607	LU0894355560	100.0000	11,900.0000 EUR			
8	DEP	Validated		TESTFB55028102	9451607	LU0012006317	100.0000				
-											
	REP	vapoated			9451607						
8	RVP	Validated		SVR TEST 22	9451607	U55949181045	12.0000	1,200,0000 USD			
8	REP	Validated		SVR TEST 21	9451607	BE0003876936	12.0000				
-											
		vascated		SVR SPEKRINGEN	9451607	810003576936					
8	REP			SVR TEST TT	9451007						



10.5 Settlement Upload

This functionality gives the opportunity to do a bulk upload of external settlement instructions based on an excel template.



The template looks as follows and can be filled in the same way as the screen in the settlement (Create External SI):

	-	-		-																		
TRADE DETAILS											SETTLEMENT DETAILS	ILS REAG/DEAG DETAILS				BUYER/SELLER DETAILS						
Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	ISIN	POC	Security Description	Quantity	Currency	Amount	СВО	RD Qualifier	RD Party Type	RD Party Code	RD Account	BS Qualifier	BS Party Type	BS Party Code	BS Account	Comment	Message
1																						



10.5.1 Trade Details

Б					-							-					_						
L					TRAD	DETAILS							SETTLEMENT DETA	LS	REAG/DE	AG DETAILS			BUYER/SEL	LER DETAILS			
П	Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	e ISIN	POC	Security Description	Quantity	Currenc	y Amount	80	RD Qualifie	r RD Party Type	RD Party Code	RD Account	BS Qualifier	85 Party Type	85 Party Code	BS Account	Comment	t Message
L																							

Create External Settlement Instruction Frade Details Frade Details Frade Frade Details Stateseping core
Tip: in the settlement screen you can choose to use an auto reference (tick 'use auto reference' box). If no reference filled in in the excel template an auto reference will be created by default.

10.5.2 Settlement Details

For certain markets extra settlement details will have to be inserted. E.g on the Hong-Kong market you will have to add 'change of beneficial owner' details (CBO). Same option is available in the excel template.

					TRADE	DETAILS						1. 1.	SETTLEMENT DETAILS			REAG/DEA	G DETAILS			BUYER/SEL	LER DETAILS		Ē
	Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	ISIN	POC	Security Description	Quantity	Currency	Amount	CBO	RD	Qualifier	RD Party Type	RD Party Code	RD Account	85 Qualifier	BS Party Type	85 Party Code	BS Account	R
ľ	2 C C C C C C C C C C C C C C C C C C C											12 1											П
1													BENE//NBEN										
1													BENE//YBEN										
ł												2											
ł												-		_									
1																							

Create External Settlement Instruction

Function	New Message			
Instruction type	RFP-Receive free of payment			
Safekeeping account *	•			
Financial instrument *	HK0000065349	٩	HONG KONG TELEVISION NETWORK LTD	
Place of custody *	Hong-Kong			
Sender reference				Use auto referen
Quantity *	100	UNIT		
Settlement amount			select	
Trade date *	12/11/2019			
Settlement date	14/11/2019			
ttlement Details				
-000 00 1000 0000	select			


Tip: When it comes to extra settlement details on Stamp Duty (e.g. UK market), these details are automatically filled in in the 'create external settlement instruction' screen based on a number of criteria (account, ISIN, Place of custody). Same logic applies for instructions that will be uploaded via the template. Therefore there is no need to insert them on the excel template.

Table Details					
Function	New Message				
Instruction type *	RFP-Receive free of payment				
Safekeeping account *	•				
Financial instrument *	GB00BD0NBV71	٩	THOR MINING		
Place of custody *	London				
Sender reference					Use auto reference
Quantity *	100	UNIT			
Settlement amount				select	
Trade date *	12/11/2019				
Settlement date *	14/11/2019				
ettlement Details					
Stamp duty *	STAM//CRST/GBOX				

10.5.3 REAG/DEAG Details

	-		-	-	1			14			-	1		-								
	TRADE DETAILS SETTLEMENT DETAILS							REAG/DE	AG DETAILS			BUYER/SEL	LER DETAILS									
Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	ISIN	POC	Security Description	Quantity	Currency	Amount	(80	RD Qualifier	RD Party Type	RD Party Code	RD Account	BS Qualifier	BS Party Type	BS Party Code	BS Account	Comme	ent Messag
						1	-		1	1.2				1.00	10 × 10					1		
-															4		1					
-																						
-																						

RD qualifier: REAG for delivery instructions / DEAG for receipt instructions RD Party Type: BIC or a local code (e.g. ECLR) RD Party Code: your BIC or local code RD Account: account (optional)

Filled in example:

REAG/DEAG DETAILS											
RD Qualifier	RD Party Type	RD Party Code	RD Account								
REAG	BIC	KREDBEBB									



Create External Settlement Inst	ruction		
Trade Details			
Function	New Message		
Instruction type *	RFP-Receive free of payment		
Safekeeping account *	▼ 0126585 - VAN DE PUT & CO		
Financial instrument *	BE0003604155	Q LOTUS BAKERIES	
Place of custody *	Euroclear Belgium		
Sender reference			Use auto reference
Quantity *	100	JNIT	
Settlement amount		select	
Trade date *	12/11/2019		
Settlement date *	14/11/2019		
Settlement Details			
Place of settlement	CIKBBEBB		_
Delivering agent [DEAG]			
Party *	select PARTY CODE		
Account			

10.5.4 BUYER/SELLER Details

Same logic (of REAG/DEAG details) applies to the Buyer/Seller details:

	TRADE DETAILS									6	SETTLEMENT DETAILS REAG/DEAG DETAILS BUYER/SELLER DETAILS											
Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	ISIN I	POC	Security Description	Quantity	Currency	Amount	C80	RD Qualifier	RD Party Type	RD Party Code	RD Account	BS Qualifier	BS Party Type	BS Party Code	BS Account	ommen	nt Messap
																				_		

All Buyer/Seller details are optional:

RD qualifier: BUYR for delivery instructions / SELL for receipt instructions RD Party Type: BIC or a local code (e.g. ECLR)

RD Party Code: your BIC or local code

RD Account: account

Filled in example:

BUYER/SELLER DETAILS											
BS Qualifier	BS Party Type	BS Party Code	BS Account								
SELL	BIC	KREDBEBB									



Delivering agent [DEAG]		
Party *	select	PARTY CODE
Account		
Seller [SELL]		
Party	select	PARTY CODE
Account		

10.5.5 Upload

Example of a template filled in with details for 4 instructions:

		÷		-										~			
-				TRADE	DETAILS				_	SETTLEMENT DETAILS		REAG/DE	AG DETAILS			BUYER/SEL	ER DETAILS
Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date Se	ttlement Date ISIN	POC	Security Description	Quantity Currency	Amount	СВО	RD Qualifier	RD Party Type	RD Party Code R	D Account BS	Qualifier	BS Party Type	BS Party Code
EXTERNAL	REP-Receive free of payment	0126585		12/11/2019	14/11/2019 HK0000065349	Hong-Kong		50,0000		BENE//YBEN	DEAG	BIC	KREDBEBB	SEI		BIC	KREDBEBB
EXTERNAL	RVP-Receive against payment	0126585		12/11/2019	14/11/2019 AU000000AEE7	Sydney		30,0000 AUD	1000,0000		DEAG	BIC	GEBABEBB				
EXTERNAL	DFP-Deliver free of payment	0126585		12/11/2019	14/11/2019 ES0105065009	Madrid		40,0000		BENE//NBEN	REAG	BIC	KREDBEBB				
EXTERNAL	DVP-Deliver against payment	0126585		12/11/2019	14/11/2019 FI0009000202	Helsinki		20,0000 EUR	2000,0000)	REAG	BIC	KREDBEBB				
Go to	Settlement	s moc	lule > l	Jploa	d > 🚺 UP	LOAD SE	TTLEMENT]									
KBC	Securities Services	Online		- Cast	Novements - Ord	ers • S	ettlements -	Static data		Product							
	<u>▲</u>	UPLOAD SE	ATE														
Sett	lement																
In P	rogress																
					~												
					~												
	and Decult																
υρι	oad Result																
					~												

You browse and select the template you have used and saved.

If no mistakes were found in your template it will be uploaded and you will receive below screen:



Securities Services Online	nts • Orders • Settlements • Static data • Sign • Product
UPLOAD SETTLEMENT Contemporate	
Settlement	Status
In Progress	Filename: Template Example.xlsx Amount of Settlement Instructions: 4
Upload Result	
Elle, Tomotian Example Max Status: FINISHED Streate Date: 15/11/2019 - 11:54AM Modification Date: 11/15/19 11:54 AM	

Status > finished > 4 settlement instructions were uploaded. Tip: If you don't receive a status update in the center of the screen, you should click first on the 'Upload Result' box at the lower left side of your screen.

These 4 settlement instructions are now available in the sign module to be signed (exactly the same process as if you would insert your instructions manually via 'Create External SI').

Settlemer	Settlement instructions ready to sign							select	select				
											SIGN 0 TRANSACTION(S)		
Select All	Туре	Action	Sender reference	Account	Beneficiary	ISIN	Quantity	Amount	TD	SD	Place of custody		
🗆 🐶 i	DVP			0126585-000		F10009000202	20.0000	2,000.0000 EUR	2019-11-12	2019-11-14	Helsinki		
- 🖓 i	DFP			0126585-000		ES0105065009	40.0000		2019-11-12	2019-11-14	Madrid		
🗆 깆 i	RVP			0126585-000		AU000000AEE7	30.0000	1,000.0000 AUD	2019-11-12	2019-11-14	Sydney		
- 🖓 i	RFP			0126585-000		HK0000065349	50.0000		2019-11-12	2019-11-14	Hong-Kong		

Maximum capacity: It is possible to upload up to 500 instructions at once.

10.5.6 Error messages

1. <u>Technical errors</u>

If one of the mandatory fields is not filled in in the template this will result in a technical error. E.g. no ISIN code in the 2nd example (all other details remain the same then in previous example)



	TRADE DETAILS										
Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	ISIN	POC	Security Description	Quantity	Currency	Amount
EXTERNAL	RFP-Receive free of payment	0126585		12/11/2019	14/11/2019	HK0000065349	Hong-Kong		50,0000		
EXTERNAL	RVP-Receive against payment	0126585		12/11/2019	14/11/2019		Sydney		30,0000	AUD	1000,0000
EXTERNAL	DFP-Deliver free of payment	0126585		12/11/2019	14/11/2019	ES0105065009	Madrid		40,0000		
EXTERNAL	DVP-Deliver against payment	0126585		12/11/2019	14/11/2019	FI0009000202	Helsinki		20,0000	EUR	2000,0000

If we try to upload this excel, it will result in the below:

UPLOAD SETTLEMENT GET TEMPLATE		
Settlement	Status	TECHNICAL ERRORS FOUND
In Progress	Filename: Template Example.xlsx	
file: Template Examplexits: C Status: TECHNICAL ERRORS FOUND Create Date: 13/11/2019 - 1:49PM Modification Date: 11/15/191349 PM	OVERVIEW • requirement failed: ISIN column does not exist at line 3	
~ 	C REDIPLOAD SETTLEMENTS	

The excel sheet could not be uploaded due to the missing ISIN code. This will result in a 'technical error' and the type of error is displayed on your screen (in this case 'ISIN column does not exist at line 3). Once this error is corrected in the excel sheet, it should be possible to upload. This can be done via the 're-upload

settlements button'

Tip: If you don't receive a status update in the center of the screen, you should click first on the 'In Progress' box at the upper left side of your screen.

2. <u>Finished with errors</u>

If we take again the same example: in this case we 'forgot' to fill in the currency for a RVP instruction:

				TRADE	DETAILS						
Settlement Type	Instruction Type	Client Account	Client Reference	Trade Date	Settlement Date	ISIN	POC	Security Description	Quantity	Currency	Amount
EXTERNAL	RFP-Receive free of payment	0126585		12/11/2019	14/11/2019	HK0000065349	Hong-Kong		50,0000		
EXTERNAL	RVP-Receive against payment	0126585		12/11/2019	14/11/2019	AU000000AEE7	Sydney		30,0000		1000,0000
EXTERNAL	DFP-Deliver free of payment	0126585		12/11/2019	14/11/2019	ES0105065009	Madrid		40,0000		
EXTERNAL	DVP-Deliver against payment	0126585		12/11/2019	14/11/2019	FI0009000202	Helsinki		20,0000	EUR	2000,0000

If we upload this excel, we get the following upload result:



UPLOAD SETTLEMENT GET TEMPLATE		
Settlement	Status	FINISHED WITH ERRORS
In Progress	Filename: Template Example.xlsx	
	OVERVIEW Amount of Settlements: 4 - Succeeded: 3 - Failed: 1	
Upload Result	DOWNLOAD FILE WITH EBBORS	
File: Template Examplex/sx (2) Status: FINISHED WITH ERRORS Create Date: 15/11/2019 - 200FPM Modification Date: 11/15/19 2007 PM	~	

The file could be uploaded, but with errors.

3 out of 4 instructions have been uploaded correctly and are ready to be signed in the sign module. 1 our of 4 instructions failed and could not be uploaded. To check on the issue with this instruction you can

download the 'download file with errors'

🚣 DOWNLOAD FILE WITH ERRORS

If you open the file you will see why the instruction could not be uploaded in the 'comment' and 'message' column:

						· · · · ·	TRADE	DETAILS						
Settlement Ty	ре	Instruction Type		Client Accou	nt Client Re	erence	Trade Date	Settlement Date	ISIN		POC	Security Description	Quantity	Currency
EXTERNAL		RVP-Receive agains	t payment	0126585			12/11/2019	14/11/2	019 AUC	00000AEE	7 Sydney		30,0000	
	SETT	EMENT DETAILS		REAG/DEA	G DETAILS			BUYER/SELLE	R DETA	ILS		1		
Amount	СВО		RD Qualifier	RD Party Type	RD Party Code	RD Account	t BS Qualifier	BS Party Type B	S Party C	ode BS Acc	count Comm	ent Message		
1000,0000			DEAG	BIC	GEBABEBB						ERROF	The currency is	missing or is	not <mark>r</mark> alid

You can correct the error in this file and upload again.

11 Product

The product module offers access to KID and target market information, as described in Mifid II.

11.1 Product Information

The Product Information page offers a securities finder, through which info on KID's and target market information can be requested.

Possible search criteria:

Find financial instrument								1
ISIN	MIC	Name			Internal code		Security group	~
Place of custody		Place of trade		Currency		Ticker		
Place Of Custody	-	Place Of Trade	•	select	V			
RESET							SEARC	н

KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels – Member of the KBC group – securitiesservices.kbc.be

<VDecember2024>



ISIN

In this field, the user can fill in the ISIN-code of the security.

MIC

In this field, the user can fill in the MIC-code of the market.

Security name

In this field, the user can fill in the name of the security.

Internal code

In this field, the user can fill in the internal code of the security; this is the internal code in the system of KBC Securities.

Security Group

A list of all possible security Groups will be shown, the client can select a specific security Group.

Place of custody

A list of all possible places of custody will be shown; the client can select a specific place of custody.

Place of trade

A list of all possible places of trade will be shown; the client can select a specific place of trade.

Currency

A list of all currencies will be shown; the client can select a specific currency in the list or type the currency code.

Ticker

In this field, the client can look for a ticker code.

Based on one or more of these search criteria, a list of securities will be shown.

ISIN	Security Name	Туре	MIC	Market Description	Price Currency	Last price date
LU0517761358	(LF) FCP - TOTAL RETURN FD -A K	OtcFund	TTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTT	Investment Funds Vestima	10.7156 EUR	2017-12-11
LU0273959709	(LF)FCP EQUITY GLOB.EQUITIES FD-I K	OtcFund	1 VESTIMA+	Investment Funds Vestima	1.4189 EUR	2017-12-11
LU0670223279	(LF)FCP MON.MKT.FD.RESERVE-EUROBK-K	OtcFund	TTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTT	Investment Funds Vestima	9.9602 EUR	2017-12-11
LU1386139338	\$ CONTR.PARTN.CSOB SVET.HR.2 0722-K	OtcFund		Investment Funds KBC (LU)	10.0000 CZK	2016-07-20
LU0079474960	AB FCP I AMERICAN GROWTH PORTFA D	Fund	1 LUXEMBOURG STOCK EXCHANGE	Luxembourg	84.4300 USD	2017-12-11
LU1069345509	AB FCP I FCP AMERICAN IN.PORTAA-D	OtcFund	1 VESTIMA+	Investment Funds Vestima	13.2100 EUR	2017-12-11
LU0246608854	AB FCP I FCP EM.MKT.DEBT PORTFA D	OtcFund	<u></u>	Investment Funds KBL	13.3800 EUR	2017-12-11
LU0095025721	AB FCP I FCP EUROPEAN INC.PORTA-D	OtcFund	TTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTT	Investment Funds Vestima	7.1400 EUR	2017-12-11

After choosing the correct security, the client will receive info on available KID's and Target Market information if this info is available in the KBC Securities Services system.

KID documents:

The available KID documents will be shown, with an indication of the language of the document and it's jurisdiction.



×

Product info for IE00B60SWX25.

document

Kid document SOURCE MK PLC ESTX50 SOURCE ETF-A K [en] Jurisdictions: [BE]	DOWNLOAD PDF	
Kid document SOURCE MK PLC ESTX50 SOURCE ETF-A K [en] Jurisdictions: [BE]	DOWNLOAD PDF	
Kid document SOURCE MK PLC ESTX50 SOURCE ETF-A K [en] Jurisdictions: [BE]	DOWNLOAD PDF	

These documents can be downloaded as PDF.

Following set of Target Market information will be displayed, in case this info is available in the KBC Securities Services system.

Target Market Info

Knowledge Base

Basic Knowledge:	NO
Informed Investor:	UNDEFINED
Experienced Investor:	YES

Ability To Bear Losses

Fully Protected Capital:	UNDEFINED
Partially Protected Capital:	YES
Partially Protected Capital Percentage:	12.36
Non Protected Capital:	YES
Loss Of More Than Capital:	NO

Risk/Reward Profile

Priips Score:	1 on a scale of 1 (most defensive)
UCITS Score::	7 on a scale of 1 (most defensive)
Leveraged exposure:	YES

Investor Horizon

Very Short Term Horizon:	UNDEFINED
Short Term Horizon:	NO
Medium Short Term Horizon:	
Long Term Horizon:	YES

KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels – Member of the KBC group – securitiesservices.kbc.be <VDecember2024>



12 Reporting

For Wealth Management only. The 5 basic reports that are currently set up in our back office system (Securities Transactions, Securities Positions, Cash Movements, Cash Balances and Dividend Overview) are now also available on demand through SSO.

Date fields are mandatory for every report. The date is referred to as the system date.

Upon request of a report, it will be sent to the e-mail address specified in the 'on demand' report in our back office system.

Securities Services Online	▼Accounts ▼C	Cash Movements		✓ Settlements	▼ Static data ▼ Si	gn ▼Product ▼Reporting
Societies Senders Online 1 ×					*	- 5 × の合命
Securities Services Online • Accounts	Cash Movements	Orders • Settlements	← Static data	◆ Sign ◆ Product	- Reporting	VALUE SQUARE 1 TEST USER (RELVS01) Logout
KBC SECURITI KBC Securities Services prov wealth managers, asset ma outsource, partially or con	ES SERVICES vides the infrastructure to anagers, private bankers upletely, their servicing pr can fearur on their huile	run global brokerage an and family offices. With rocesses. We take care o	d custody oper- our BPO model of the increasing	ations. We offer this fu we offer a solution to regulations, more co	Securities Transactions Securities Positions (Cash Movements Cash Balances Dividend Overview	l clients, want to r quality

12.1 Securities Transactions

driving our proposition.

Securities Transactions			
	Place of Custody	Security Type	
	select	select	
	Date from *	to *	
	Date from * 01/07/2019	to * 31/07/2019	

Securities Transactions					
	Place of Custody		Security Type		
	select	•	select	~	
	Date from *		to *		
	01/07/2019		31/07/2019		
	RESET				REQUEST REPORT
		The	Tour request has been acknowledged. Your request has been acknowledged. requested report will be generated and sent to configured e-mail address.) your	

Filters available for 'place of custody' and 'security type'.

Mail:

```
KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<VDecember2024>
```



U customersupport@kbc.be KBC BANK NV : SSO - SECURITIES TRANSACTIONS 01/07/2019 - 31/07/2019 Included document KBC Securities Services

12.2 Securities Positions

Securities Positions			
	Security Type		Date *
	select	~	date
	RESET		
Filter available	e for 'security type'.		

Mail:

Customersupport@kbc.be KBC BANK NV : SSO - SECURITIES POSITIONS 16/07/2019 Included document KBC Securities Services

12.3 Cash Movements

Cash Movements			
	Date from *	to *	
	from date	to date	
	RESET	I	REQUEST REPORT
Mail:			

1

U customersupport@kbc.be KBC BANK NV : SSO - CASH MOVEMENTS 01/06/2019 - 30/06/2019 Included document KBC Securities Services

12.4 Cash Balances

Cash Balances			
	Date *		
	date		
	RESET		REQUEST REPORT
Mail:			
	Customersupport@kbc.be	KBC BANK NV : SSO - CASH BALANCES 06/08/2019	

 customersupport@kbc.be
 KBC BANK NV : SSO - CASH BALANCES 06/08/2019

 Included document KBC Securities Services

12.5 Dividend Overview

Dividend Overview			
	Date from *	to *	
	from date	to date	
	RESET		REQUEST REPORT
Mail:			
İ	customersupport@kbc.be Included document KBC Securi	KBC BANK NV : SSO - Dividend C ities Services	Overview 01/01/2019 - 01/07/2019

KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels – Member of the KBC group – securitiesservices.kbc.be <VDecember2024>



13 BPO Management

For BPO (business process outsourcing) clients that has subscribed to MDSaaS service only.

13.1 Product universe

With the '**Product universe**' submodule, clients has the possibility to add/delete a product to/from their product universe via SSO tool.

The client can find the financial instrument(s) in their product universe via entering ISIN code or ERI code. After entering ISIN/ERI code and pressing 'search' button, the security client is looking for will appear (with details, see below).

ISIN	ERI-code	Security Name	BPO Name	Instrument Type	Currency	Place of Trade
AT0000746409	0065215001	VERBUND AG A	New try for the test 444	SHARES	EUR	Vienna

If this security is already in the client's product universe, it is possible to 'delete product from the universe' by clicking delete button **1**.

	ISIN		ERI					
	BE0948331591		ERI					
	RESET							SEARCH
+		ISIN	ERI-code	Security Name	BPO Name	Instrument Type	Currency	Place of Trade
ŵ		BE0948331591	0948331001	VALUE SQUARE FD EQUITY SELECT C K	New try for the test 444	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Fondsen RBC Dexia
Î		BE0948331591	0948331001	VALUE SQUARE FD EQUITY SELECT C K	New try for the test 444	INVESTMENT FUNDS BE CAPITALIZATION	EUR	Fondsen RBC Dexia

If this security is not yet in the client's product universe, it is possible to 'add product to universe' by clicking add button 🛨.

	ISIN		ERI							
	GB0006776081		ERI							
	RESET									SEARCH
4		ISIN		ERI-code	Security Name	BPO Name	Instrument Type	Currency	Place of Trade	
÷		GB0006776081		0091419000	PEARSON PLC		SHARES	GBP	London	

After clicking add/delete button, the add/delete confirmation window pops up.





Are you sure you want to delete the product with internal code "0948331001" from the product universe? Are you sure you want to add the product with internal code "0065215001" to the product universe?



After confirmation, all the updates has to be signed in the 'sign' module (BPO Management Updates submodule; see 8.8.1.1.) by the same user or another user (if the 4-eyes principle has been set up for this client), see 4.5.

After signing, it will automatically be routed to KBC Securities Services backend system for further processing. Than product will be add/delete to/from the product universe.

KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels – Member of the KBC group – securitiesservices.kbc.be <VDecember2024>



13.2 External reference

With the 'External reference' submodule, clients has the possibility to search for KBC account/person ID by providing the External account/person ID.

This is also possible to do the other way around – BPO client can search for external account/person ID by providing the KBC account/person ID.

Translate external references	
External account ID	KBC account ID:
KBC account ID	External account ID:
External person ID	KBC Person ID:
KBC Person ID	External person ID:
RESET	TRANSLATE

The client can fill in:

- External account ID -> translate into KBC account ID
- KBC account ID -> translate into External account ID
- External person ID -> translate into KBC person ID
- KBC Person ID -> translate into External person ID

By clicking 'translate' button, filled number will be translated into correct form.

Translate external references		
External account ID	KBC account ID:	
132500425970	8881379	

Keep in mind that it is possible to only insert one value at the time.

13.3 Product update

With the 'Product update' submodule, clients has the possibility to manage center based market data via SSO tool.

The client can find the financial instrument(s) via entering ISIN code or ERI code. After entering ISIN/ERI code and pressing 'search' button, the security client is looking for will appear (with details, see below).

ISIN	ERI-code	Security Name	BPO Name	Instrument Type	Currency
BE0948331591	0948331001	VALUE SQUARE FD EQUITY SELECT C K	New try for the test 444	INVESTMENT FUNDS BE CAPITALIZATION	EUR

By clicking on 'Modify center based fields' button 🗾 the product details screen pops up.

KBC Bank NV – Havenlaan 2 – 1080 Brussels – Belgium – VAT BE 0462.920.226 – RLP Brussels –
Member of the KBC group – securitiesservices.kbc.be
<vdecember2024></vdecember2024>

Securities Services. **KBC** Moving forward together.

Product details for ISIN: BE0948331591.

	UPDATE VALUES		DISCARD CHANGES
Client based information:	BPO Value		KBC Value
Name:	New try for the test 444		VALUE SQUARE FD EQUITY SELECT C K
Complex Product:	Current value: No	~ 🥒	No
Investor type:	BPO Value		KBC Value
Investor type retail:	Current value: Yes	~ 🥒	Yes
Investor type professional:	Current value: Yes	~ 🥒	Yes
Investor type elig. cntrpty:	Current value: Yes	~ 🥒	Yes
Knowledge base:	BPO Value		KBC Value
Basic knowledge and exp:	Current value: No	× 🥒	Yes
Informed investor:	Current value: No	~ 🥒	Yes
Experienced investor:	Current value: No	× 🧷	Yes

In this side dialog, fixed details of the product are provided.

Client can edit certain fields displayed on this side dialog by clicking on 'edit' button 🗾 (in the BPO Value column).

By clicking on 'edit' button, client can:

• Type new value (open text line)

Client based information:	BPO Value	KBC Value
Name:	THIS IS TEST LINE	VALUE SQUARE FD EQUITY SELECT C K
- Choose one of the pre-defi BPO Value	ned values (No/Yes/Undefined)	



When the client finish his updates, it is necessary to confirm this update by clicking on 'update values' button + 'create' button (on pop up window).



Securities Services. Moving forward together.

KB	Securities Services Onlir	ne – Accounts – C	ash Movements 🔹 Orders 👻	Settlements • Static dat	ta • Sign • Product • I	Product de	etails for ISIN: BEC	0948331591.		×
Find								UPDATE VALUES		DISCARD CHANGES
1	SIN 860948331591	ERI				Client base	d information:	BPO Value		KBC Value
	RESET					Name: Complex Pro	oduct:	THIS IS TEST LINE	- 2 - 2	VALUE SQUARE FD EQUITY SELECT C K
						Investor typ	pe:	BPO Value		KBC Value
*	ISIN	ERI-code	Security Name		BPO Name	10.000.000	ail:	Current value: Yes	~ 🖉	Yes
4				CK			ofessional:	Current value: Yes	~ 🗾	Yes
							g. cntrpty:	Current value: Yes	~ 🖉	Yes
					\odot		e:	BPO Value		KBC Value
					Update?		and exp:	Current value: No	× 🖉	Yes
							or:	Current value: No	~ 🖉	Yes
				A	re you sure to create an updat	9?	estor:	Current value: No	× 🖉	Yes
				1	Cancel Create		osses:	BPO Value		KBC Value
								Current value: No	× 🖉	No
						Partially pro	tected capital:	Current value: No	~ 🖉	No
						Part. prot. c.	apital pct:	Current value: 33,333333		0,000000

It is possible to discard all the changes made by clicking on 'discard changes' button. By clicking on it, all the changes are discard and original values are filled in again.

Product details for ISIN: BE0948331591.				
	UPDATE VALUES	DISCARD C	HANGES	
Client based information:	BPO Value	KBC Value		
Name:	New try for the test 444	VALUE SQUA	RE FD EQUITY SELECT C K	
Complex Product:	Current value: No 🗸	No		

When all the updates are confirmed by clicking on the 'create' button (see above), all the updates are created and have to be signed in the 'sign' module (BPO Management Updates submodule; see 8.8.1.2.) by the same user or another user (if the 4-eyes principle has been set up for this client), see 4.5.

We su	ccessfully crea	ated an update	<u>.</u>	
You can	CREATE A NEW	PRODUCT UPDATE	or	SIGN

After signing, it will automatically be routed to KBC Securities Services backend system for further processing. Then product will be updated.



13.3.1 Alternative Security types

With the 'Alternative Security types' fields in the screen Product update submodule you can select via checkboxes the extra customer-specific variables that can be assigned to instruments in the universe. These fields can be used to determine different fee rates for different types of products.

The amount of fields can differ regarding the alternative security type of the client which is driven by the backend setup.

Alternative Security Type Field:

EXAMPLE 1	
EXAMPLE 2	
EXAMPLE 3	
EXAMPLE 4	
EXAMPLE 5	
EXAMPLE 6	
EXAMPLE 7	
EXAMPLE 8	0

Once all desired security types are selected, click on the button 'Update values' to save these changes and then proceed to sign this change.

After signing, it will automatically be again routed to KBC Securities Services backend system for further processing. Then product will be updated.